

Mod 6 Job Aid: Annual Implementation & Compliance Report Checklist

Questions

Q1. What is working or not working in the compliance of the Agreement?

A1.

Q2. Any issues with complying with the Agreement, NISPOM, or other laws and regulations?

A2.

Q3. Do security controls, practices, or procedures warrant adjustments?

A3.

Q4. Any issues with complying with the security compliance measures such as the ECP, TCP, AOP, and FLP?

A4.

Q5. Do any of the Visitation policy or any oversight measures need adjustments?

A5.

Q6. Any new classified contracts or discontinued contracts or classified programs?

A6.

Q7. Is the management of Export Controlled Information and/or violations being handled properly and within the Export laws and by-laws agreements?

A7.

Q8. Does the GSC have any FOCI and industrial security questions?

A8.

Q9. Any changes or issues with the current active classified contracts and government customers?

A9.

Q10. Are there any financial challenges with the company?

A10.

Q11. How is the interaction with the affiliates and the Inside Director?

A11.

Q12. Any Issues with compliance to the Visitation Policy or AOP?

A12.

Any Best Practices and/or process improvements?