



Preparing for a Security Professional Education Development (SP&D) Certification Assessment

The Industrial Security Oversight Certification (ISOC) assessment is training agnostic, meaning you do not have to complete any prescribed training or courses before taking the certification assessment. However, preparing for the assessment by gaining additional training, education, or experience in the topic areas may prove beneficial.

Competency Preparatory Tools (CPTs) help you prepare for your SP&D certification assessment. The ISOC CPTs consists of a series of open-ended questions in each of the three security areas to assist you in increasing your proficiency.

Note: Specific CPT questions are not found on the assessment.

To view available CPTs for each SP&D certification assessment, please visit <https://www.cdse.edu/certification/prepare.html>.

CDSE-offered Training

You are invited to familiarize yourself with courses addressing the topics noted in the ISOC Areas of Expertise. The Center for Development of Security Excellence (CDSE) provides diverse security courses and products to Department of Defense (DoD) personnel, DoD contractors, employees of other federal agencies, and selected foreign governments. Training is presented through a variety of learning platforms and is streamlined to meet performance requirements.

To view available CDSE-offered training, please visit <https://www.cdse.edu/catalog/index.html>.

CDSE-offered Education

CDSE has established a program of advanced and graduate-level courses designed specifically to broaden DoD security specialists' knowledge and understanding of the security profession and prepare them for leadership positions and responsibilities. All of the courses are tuition free and are offered in a virtual instructor-led environment.

To view available CDSE-offered training, please visit <https://www.cdse.edu/education/index.html>.



ISOC Area of Expertise

The ISOC assessment measures your understanding of industrial security skills in implementing the National Industrial Security Program (NISP), as well as your ability to perform other industrial security functions, including facility security and conducting facility surveys and inspections. Although your current work may primarily be in one of the core security disciplines (i.e., information, personnel, physical, industrial, or general security), the practice analysis performed by DoD demonstrates the importance of having awareness and a fundamental knowledge across the core disciplines and the ability to perform industrial security oversight functions. The ISOC is not only valuable to you as your career advances; it also strengthens the confidence the DoD has in your specialized knowledge in industrial security oversight.

The Areas of Expertise specified in the following chart were identified during practice analysis as critical domains that should be addressed by the ISOC assessment. The weights indicated were derived from importance ratings provided by subject matter experts (SMEs) during the assessment development process.

Area of Expertise	
Industrial Security Basics	
Exam Weight: 33%	
Facility Clearance Requirements and Procedures	Personnel Security Concepts
Business Structures	Foreign Ownership, Control or Influence (FOCI) Fundamentals
Contractor/Sub-Contractor Reporting Responsibilities	
Security Reviews and Inspection	
Exam Weight: 27%	
Security Review Procedures	Pre-Security Review Research
Security Violations and Administrative Inquiry Procedures	Post-Security Review Actions
Security Reviews under NISP (Formerly Security Reviews)	Post Survey Actions
Security Systems and Requirements	
Exam Weight: 40%	
Security-Related Systems and Databases	Counterintelligence Integration
International Security Requirements	Information Systems Security
Specialized Mission Areas	Specialized Briefings/Education
Classification and Retention	Safeguard/Storage and Classified Material Controls
Classified Visits and Meetings	Security-Related Systems and Data Bases

Policy/Reference Finder

The resources below can be used to assist you in locating current versions of policies used within the CPTs.

Note: Unclassified publications that contain tactics, techniques, procedures, etc., may require a Common Access Card (CAC) to access.

- Security Executive Agent Directives are available via the National Counterintelligence and Security Center: <https://www.dni.gov/index.php/ncsc-how-we-work/ncsc-security-executive-agent/ncsc-policy>
- DoD Issuances are listed on the Washington Headquarters Services Executive Services Directorate website:
 - DoD directives: <https://www.esd.whs.mil/Directives/issuances/dodd/>
 - DoD instructions: <https://www.esd.whs.mil/Directives/issuances/dodi/>
 - DoD manuals/publications: <https://www.esd.whs.mil/Directives/issuances/dodm/>
 - Directive-type Memorandums: <https://www.esd.whs.mil/DD/DoD-Issuances/DTM/>
- All Joint Publications (JPs): <https://www.jcs.mil/Doctrine/Joint-Doctrine-Pubs/>
 - JPs that require CAC access are available via the Joint Electronic Library Plus (JEL+): <https://jdeis.js.mil/my.policy>



Concepts/Topics		Question	Answer	References
Facility Clearance Requirements and Procedures	How would you explain the roles of facility clearance requirements and procedures in performing of industrial security oversight functions?	What are the facility security clearance (FCL) requirements, including reporting requirements for changed conditions and organizational roles in processing new FCLs and changed conditions?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Personnel Security Concepts	How would you apply foundational personnel security concepts when related to performing industrial security oversight functions?	How would you apply the criteria and requirements for accessing classified material (i.e., clearance, briefings, need-to-know)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Personnel Security Concepts		What are eligibility requirements, eligibility limitations related to interim clearance, and eligibility determinations (e.g., revocations, denials, loss of jurisdiction, suspension, interim declinations)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Personnel Security Concepts		What are the background investigation and continuous evaluation standards and requirements?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016; SEAD 6, January 12, 2018
Personnel Security Concepts		What are the requirement and procedure applications for processing changes affecting personnel security clearance (PCLs) (e.g., adverse information, evaluation of culpability reports, suspicious contacts, insider threat reportable actions and behaviors)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Personnel Security Concepts		Why is there a need to protect Personally Identifiable Information (PII)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Personnel Security Concepts	How would you apply foundational personnel security concepts when related to performing industrial security oversight functions?	What is the process for evaluating basic requirements and documentation of cleared consultants, limited access authorizations (LAAs), adjudication, and termination (e.g., separating, debriefing, out-processing)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Business Structures	How would you recognize common business structures and discuss their industrial security oversight implications?	How would you identify and explain common business structures (i.e., multiple facility organization (MFO), division, parent, subsidiary, home office (HOF)?		DoDM 5220.22, Volume 2, August 1, 2018
Business Structures		What are the implications of business structures on facility clearance requirements and processes (i.e., Key Management Personnel (KMP) requirements, clearance/exclusion requirements, impact of KMP, corporate structure, ownership changes)?		DoDM 5220.22, Volume 2, August 1, 2018
Business Structures		How would you identify key organizational documentation pertinent to business structure verification (e.g., articles of incorporation/bylaws, operating agreement, joint venture agreement, partnership agreement, organizational charts, stockholder/investor ownership, state registration documents)?		DoDM 5220.22, Volume 2, August 1, 2018
Counterintelligence (CI) Integration	How would you educate a contractor on CI threats/vulnerabilities, potential mitigation strategies, and discuss their industrial security oversight implications?	What methods do foreign nationals use to obtain information (e.g., social engineering, unsolicited emails, unsolicited requests, elicitation, recruitment, cyber threats)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Counterintelligence (CI) Integration		Who and what are the CI resources and community members?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Counterintelligence (CI) Integration	How would you educate a contractor on CI threats/ vulnerabilities, potential mitigation strategies, and discuss their industrial security oversight implications?	What are the purpose, process, and critical elements of suspicious contact?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Counterintelligence (CI) Integration		What are the foreign collection and reporting requirements?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Foreign Ownership, Control or Influence (FOCI) Fundamentals	What are the fundamental concepts related to FOCI in performing industrial security oversight functions?	What are FOCI terms, factors, and actions in National Industrial Security System?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Foreign Ownership, Control or Influence (FOCI) Fundamentals		How would you use FOCI action plans/ mitigation processing?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Foreign Ownership, Control or Influence (FOCI) Fundamentals		What are the purpose, function, and use of FOCI mitigation implementation procedures and plans for commitment letters, Technology Control Plans (TCP), Electronic Communication Plans (ECP), Affiliated Operations Plans (AOP), Facilities Location Plans (FLP), visitation policies, and other procedures as required?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Foreign Ownership, Control or Influence (FOCI) Fundamentals		What are the roles of outside directors, proxy holders, voting trustees, and members of the Government Security Committee?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Foreign Ownership, Control or Influence (FOCI) Fundamentals				



Concepts/Topics		Question	Answer	References
Foreign Ownership, Control or Influence (FOCI) Fundamentals	What are the fundamental concepts related to FOCI in performing industrial security oversight functions?	What are the National Interest Determination (NID) requirements and process?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Foreign Ownership, Control or Influence (FOCI) Fundamentals		What is the role of the Committee on Foreign Investment in the United States (CFIUS) within the NATO Security Investment Program (NISIP)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Foreign Ownership, Control or Influence (FOCI) Fundamentals		What are the initial and annual meetings and annual reporting requirements?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Foreign Ownership, Control or Influence (FOCI) Fundamentals		What are the purpose of FOCI oversight, agreement compliance, and annual FOCI compliance meetings?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
International Security Requirements	How would you apply international security requirements in performing industrial security oversight functions?	List and define the basic export control terminology (e.g., export license, empowered official, designated government representative (DGR), Export Administration Regulations (EAR), International Traffic in Arms Regulations (ITAR), Foreign Military Sales (FMS), Direct Commercial Sales (DCS))?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
International Security Requirements		What are the resources involved in international security concerns (e.g., Regional Action Officers, Foreign Disclosure, DoD Consolidated Adjudications Facility (CAF))?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
International Security Requirements	How would you apply international security requirements in performing industrial security oversight functions?	What are the resources involved in international security concerns (e.g., Regional Action Officers, Foreign Disclosure, DoD Consolidated Adjudications Facility (CAF))?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
International Security Requirements		What are the basic requirements for the international transfer of classified information (i.e., government-to-government transfers), and the roles/responsibilities of the Designated Government Representative (DGR)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
International Security Requirements		What are the purpose of transportation plans, security communications, and hand-carries (all methods of international transfers)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
International Security Requirements		What is the purpose of international requirements (Arms Exports Control Act, Bilateral Security Agreements, Industrial Security Agreements, etc.)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
International Security Requirements		What is the purpose of Technology Plans in regards to processing and controlling of Foreign Visitors?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Information Systems Security	What are the fundamentals of information systems security in performing industrial security oversight functions?	List and define the basic information system security terminology (e.g., system security plan (SSP), information system security manager (ISSM), risk management framework (RMF), interim action to operate/action to operate (IATO/ATO))?		DoD 5220.22-M, May 18, 2016; DoDI 8510.01, Incorporating Change 2, July 28, 2017; NIST SP 800-30 Revision 1, September 17, 2012



Concepts/Topics		Question	Answer	References
Specialized Mission Areas	What is your role in supporting Communications Security (COMSEC), Operations Security (OPSEC), and Special Access Programs (SAPs) in performing industrial security oversight functions?	What is COMSEC in regards to purpose, relevant internal and external resources, contractual requirements, COMSEC account security review, and Intelligence, Surveillance, and Reconnaissance Systems (ISR) roles in oversight as well as telecommunication technologies (i.e., teleconferencing, secure terminal equipment (STE))?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Specialized Mission Areas		How is OPSEC used in regards to relevant internal and external resources, and contractual requirements?		DoDM 5220.22, Volume 2, August 1, 2018
Specialized Mission Areas		How are SAPs used in regards to relevant internal and external resources, carve outs, and contractual requirements?		DoDM 5220.22, Volume 2, August 1, 2018



Concepts/Topics		Question	Answer	References
Specialized Briefings/ Education	How would you administer special briefings, verify the completion and effectiveness of security education at contractor facilities, and discuss their industrial security oversight implications?	What are the types of special briefings (e.g., NATO) pertinent to contractual needs for special briefings, and debriefing requirements for special briefings?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Specialized Briefings/ Education		What are specialized access requirements and related protection measures?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Specialized Briefings/ Education		What are the initial briefing administrative, content, and documentation requirements?		DoDM 5220.22, Volume 2, August 1, 2018
Specialized Briefings/ Education		What are the resources for preparing security briefings?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Specialized Briefings/ Education		How would you explain refresher briefing administrative requirements?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Specialized Briefings/ Education		How do you implement debriefing requirements?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Contractor/ Sub-Contractor Reporting Responsibilities	How would you discuss the rationale underlying contractor/sub-contractor reporting requirements?	What are the requirements for reporting actual, probable, or possible espionage, sabotage, or subversive activities?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting adverse information?		DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting suspicious contact?		DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting an insider threat?		DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting routine changes in cleared employee status, such as marriage, divorce, termination, or death of employee?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting significant changes in cleared employee status, such as arrest?		DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting employees desiring not to perform on classified work or refusing to execute security agreement?		DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Contractor/ Sub-Contractor Reporting Responsibilities	How would you discuss the rationale underlying contractor/sub-contractor reporting requirements?	What are the requirements for reporting change in storage capacity?		DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting inability to safeguard classified material?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting security equipment vulnerabilities (e.g., shredder with broken teeth, broken safe, non-GSA approved security container, National Security Agency (NSA) evaluated products list)?		DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting unauthorized receipt of classified material and overnight shipping requirements for contractors?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are Culpability Reports, and Loss or Compromise of Classified Material Reports?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Contractor/ Sub-Contractor Reporting Responsibilities		What are the requirements for reporting on foreign classified contracts?		DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Classification and Retention	How would you validate the implementation of classification and retention requirements in performing industrial security oversight functions?	What are the purpose, elements, use, and process for the issuance of DD Form 254?		DoDM 5220.22, Volume 2, August 1, 2018
Classification and Retention		How are the following basic contractual concepts applied: Statement of work (SOW), period of performance, Security Classification Guidance (SCG), and statement of objectives (SOO)?		DoD 5220.22-M, May 18, 2016
Classification and Retention		What is the role of prime contractors with respect to security requirements for sub-contractors?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Classification and Retention		What is the relationship between contractual security requirements (i.e., Federal Acquisition Regulation (FAR), Defense Federal Acquisition Regulation Supplement (DFARS)) and security guidance (based on National Industrial Security Program Operating Manual (NISPOM) and Component guidance)?		DoD 5220.22-M, May 18, 2016
Classification and Retention		What are requirements and limitations for retention authorities (e.g., automatic retention period, DD Form 254, bid proposal, final DD Form 254)?		DoDM 5220.22, Volume 2, August 1, 2018
Classification and Retention		How would you evaluate disclosure requirements between entities (e.g., parent, subsidiary, prime, sub, MFO, Government Contracting Agency (GCA), HOF, divisions, federal agencies, DoD activities)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Classification and Retention		What is the industry role and responsibility with respect to derivative classification (including training requirements)?		DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Safeguard/ Storage and Classified Material Controls	How would you validate the implementation of protection measures for safeguarding and storing classified material in performing industrial security oversight functions?	What are the storage requirements for materials at various classifications?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Safeguard/ Storage and Classified Material Controls		What are the physical controls associated with classified information system processing?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Safeguard/ Storage and Classified Material Controls		What are the requirements for combination changes and perimeter control?		DoD 5220.22-M, May 18, 2016
Safeguard/ Storage and Classified Material Controls		What are the end-of-day security requirements?		DoD 5220.22-M, May 18, 2016
Safeguard/ Storage and Classified Material Controls		What are the approval process and required documentation (e.g., Component form or UL 2050 certificate) for a closed area?		DoD 5220.22-M, May 18, 2016
Safeguard/ Storage and Classified Material Controls		What are the construction requirements for closed areas?		DoD 5220.22-M, May 18, 2016
Safeguard/ Storage and Classified Material Controls		What are the access control requirements for closed and restricted areas?		DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Safeguard/ Storage and Classified Material Controls	How would you validate the implementation of protection measures for safeguarding and storing classified material in performing industrial security oversight functions?	What are the supplemental controls (e.g., intrusion detection system, grandfathered guards, security in-depth) for closed areas?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Safeguard/ Storage and Classified Material Controls		What is the open storage approval process?		DoD 5220.22-M, May 18, 2016
Safeguard/ Storage and Classified Material Controls		What are the processes for restricted area identification and physical and procedural requirements?		DoD 5220.22-M, May 18, 2016
Classified Visits and Meetings	What are the security requirements and procedures for classified meetings and visits in performing industrial security oversight functions?	What are the different classified visit determinations, request elements, transmittal methods, and procedures?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Classified Visits and Meetings		How would you execute classified meeting determinations using required documentation?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Classified Visits and Meetings		What are the onsite foreign visitor regulations (i.e., government-approved visits, visit request denials, non-sponsorship, visit records, visits to subsidiaries, control of access by on-site foreign nationals)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Classified Visits and Meetings		What are the facility visitor control procedures (e.g., need-to-know determinations, visit authorizations, long-term visitor specifications, expert technology control plan (TCP))?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Pre-Security Review Research	How would you conduct pre-security review research and complete all necessary pre-security review coordination in performing industrial security oversight functions?	What are the security review priorities based on Component priorities?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Pre-Security Review Research		How would you describe security review team potential members and composition based on facility type and complexity?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Pre-Security Review Research		What types of information can GCA or prime contractors provide?		DoD 5220.22-M, May 18, 2016
Pre-Security Review Research		What are pre-security review notifications?		DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Security Review Procedures	How would you conduct security reviews under NISP, and identify and substantiate security review findings in performing industrial security oversight functions?	What are the security review process, guidelines, and guidance?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Review Procedures		What are the purpose, function, and use of the Security Review Report and Results Letter?		DoD 5220.22-M, May 18, 2016
Security Review Procedures		What are the purpose, procedures, and elements of entrance and exit briefings?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Review Procedures		What are the condition changes that may impact facility clearance?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Review Procedures		What are the security training and briefing standards (e.g., inclusion of CI, reproduction, derivative classification, and disposition)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Review Procedures		What are contractor facility security requirements (e.g., reporting, classification and marking, safeguarding)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Review Procedures		How would you apply strategies to mitigate risks (e.g., implementing security countermeasures)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Security Review Procedures	How would you conduct security reviews under NISP, and identify and substantiate security review findings in performing industrial security oversight functions?	What is facility clearance information validation (e.g., key management personnel, security agreement, SF328/FOCI)?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Review Procedures		What are the corrective actions and associated timeframes?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Review Procedures		What are vulnerabilities or security discrepancies?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Review Procedures		What are the current global information system security threats (e.g., cyber security, countries of interest) and threat mitigations?		DoD 5220.22-M, May 18, 2016



Concepts/Topics		Question	Answer	References
Security Violations and Administrative Inquiry Procedures	How would you evaluate security incident and violation reports for completeness and conduct administrative inquiries in performing industrial security oversight functions?	What are the facility security officer (FSO) and ISSM responsibilities pertaining to security violations?		DoDM 5220.22, Volume 2, August 1, 2018
Security Violations and Administrative Inquiry Procedures		What is the Industrial Security Specialist's role when a contractor reports a loss, compromise, or suspected compromise?		DoD 5220.22-M, May 18, 2016
Security Violations and Administrative Inquiry Procedures		What are the definitions for loss, compromise, and suspected compromise of classified information?		DoDM 5220.22, Volume 2, August 1, 2018; DoD 5220.22-M, May 18, 2016
Security Violations and Administrative Inquiry Procedures		How would you conduct administrative inquiries in accordance with roles/responsibilities, guidance, and process, culpability determination and reports, and corrective actions?		DoDM 5220.22, Volume 2, August 1, 2018
Security Violations and Administrative Inquiry Procedures		What are the administrative inquiries involving information systems in terms of roles/responsibilities, and classification of communication and documentation regarding incident?		DoDM 5220.22, Volume 2, August 1, 2018



Concepts/Topics		Question	Answer	References
Post-Security Review Actions	What is the functionality of exit briefings and security review documentation, reports, and/or letters in performing industrial security oversight functions?	What are the purpose and elements of exit briefings?		DoDM 5220.22, Volume 2, August 1, 2018
Post-Security Review Actions		In what security review documentation, preparation of reports, and/or letters to management detailing results of security review should you include identification of vulnerabilities and issuance of a security rating (including completion and submission based on ratings)?		DoDM 5220.22, Volume 2, August 1, 2018

Helpful Tips

- The body of material covered on the ISOC assessment cannot be memorized in its entirety. Use memory techniques only to help recall key points.
- Focus on the application of accepted principles, practices, and theories, not memorizing facts, dates, and names.
- The ISOC assessment is based on DoD policy only, and does not incorporate additional Component or agency requirements.

