PERSONNEL VETTING WEBINAR SERIES:

Continuous Vetting (CV) Methodology

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

PARTICIPANTS

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ATTENDEE PARTICIPATION & FEEDBACK

Polls, Chats and Feedback

Poll #1

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○ 5

○ 6

◉ No Vote

Chat Q2 - Shorts

What shorts have you found most helpful? or What shorts do you think might be beneficial to you and your security program?

Type your answer here...

Feedback 3

Type your unclassified comments here. Both positive and constructive comments are useful. Suggestions: How do you actually use what was presented on the job? What changes would improve your webinar experience?

Type your answer here...

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Your feedback helps us improve the quality of our offerings.

Responding will only take a few minutes.

Responding is optional.

CENTER FOR DEVELOPMENT OF SECURITY EXCELLENCE
WEBINAR FEEDBACK

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Continuous Vetting (CV) Methodology

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PERSONNEL SECURITY CLEARANCE REFORM EFFORTS

Continuous Evaluation (CE)

A vetting process to review the background of an individual who has been determined to be eligible for access to classified information or to hold a sensitive position at any time during the period of eligibility. CE leverages a set of automated record checks and business rules to assist in the ongoing assessment of an individual's continued eligibility.

CE is intended to complement continuous vetting efforts.

Continuous Vetting (CV)

Robust and real-time review of a covered individual's background at any time to determine whether that individual continues to meet applicable requirements.

CV will replace the five and 10 year periodic reviews with ongoing, and often automated, determinations of a person's security risk.

Trusted Workforce 2.0

An enterprise approach to overhaul the security clearance process to get people to work faster, have more mobility, and ensure they're trusted through:

- More nimble policy making
- Vetting tailored to mission needs
- Aligned security, suitability, and credentialing
- Reduced number of investigative tiers
- Expanded spectrum of investigative methods

CONTINUOUS VETTING OVERVIEW

WHO

WHAT

WHY

HOW

CONTINUOUS VETTING

Individuals with:
- DOD affiliation
- Eligible for Access
- Signed SF-86 dated 2010 or later

Continuous Vetting (CV), as defined by Executive Order 13764 (2017), is the process of reviewing the background of a covered individual at any time to determine whether that individual continues to meet applicable requirements. Vetting policies and procedures are further sustained by an enhanced risk-management approach that facilitates early detection of issues. CV is a real-time review of an individual's background at any time to determine if they continue to meet their requirements to uphold eligibility to access classified information. Unlike its predecessor CE, Continuous Vetting will replace the five and 10-year periodic reviews with ongoing and automated determinations of a person's security risk.

Early Detection: Goal is to address potential indicators early on, allowing individuals the opportunity to seek assistance and mitigate triggers before becoming an insider threat.

Automated Records Checks to address 7 data categories

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BENEFITS OF CONTINUOUS VETTING

DCSA's TW 1.25 continuous vetting support service provides:

- Continuous automated record checks conducted against key data categories of **Terrorism, Criminal,** and **Eligibility**

- Deferment of periodic reinvestigations (PR) based on review of an SF86 with no significant issues found

- Enrollment in corresponding personnel security data repositories to support improved reciprocity actions
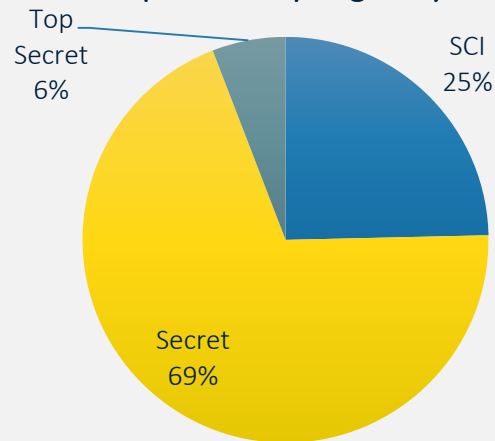
RESULTS OF CONTINUOUS VETTING

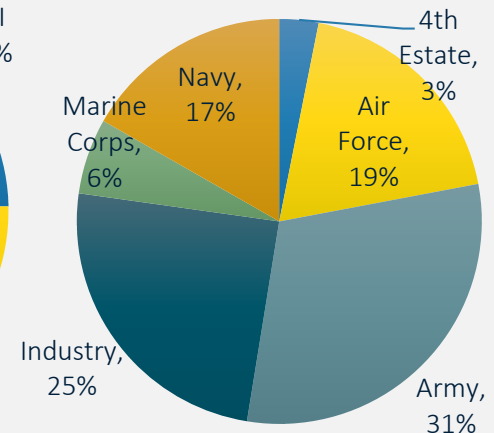**~3.3 mil**
Total Subjects Enrolled in DOD CV Program*

~823,000
Industry Subjects Enrolled in CE

Population by Eligibility

- SCI 25%
- Top Secret 6%
- Secret 69%

Population by Department

- 4th Estate, 3%
- Air Force, 19%
- Navy, 17%
- Marine Corps, 6%
- Industry, 25%
- Army, 31%

Early Detection and Risk Mitigation
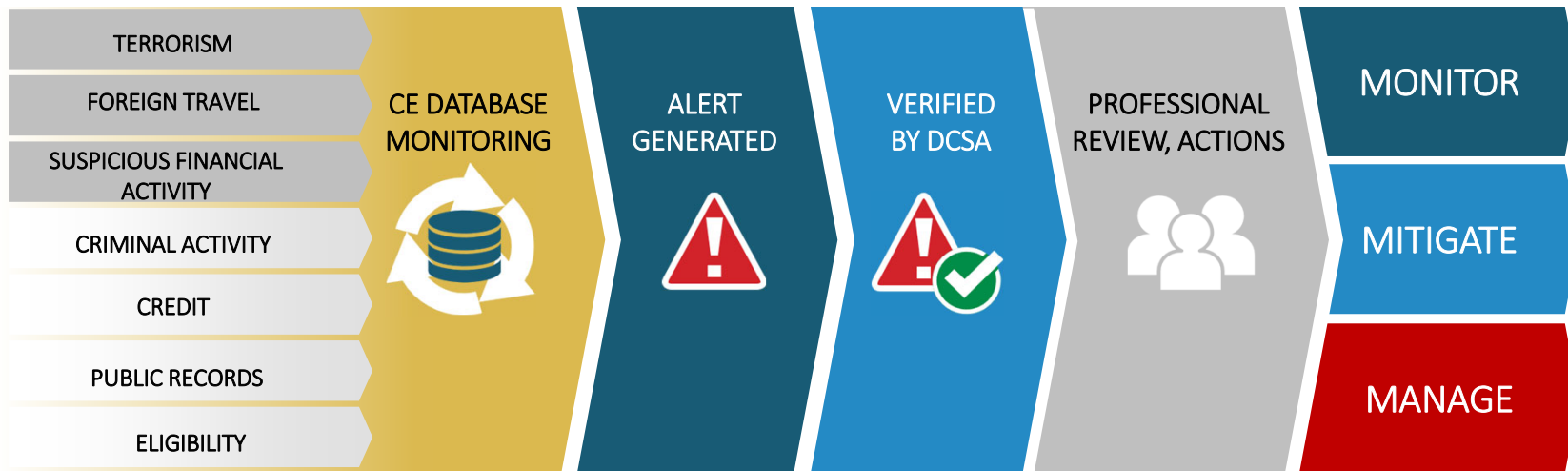*before next PR due to begin*

Secret: 7 Years 1 Month

Top Secret: 2 Years 7 Months

HOW CV WORKS

Continuous Vetting (CV) refers to expanded automated record checks and pulling information from Government and commercial data sources. When DCSA receives an alert, it assesses whether the alert is valid and worthy of further investigation and adjudication. Addressing potential indicators early on allows individuals to seek assistance and mitigate triggers before becoming an insider threat.

TERRORISM

FOREIGN TRAVEL

SUSPICIOUS FINANCIAL ACTIVITY

CRIMINAL ACTIVITY

CREDIT

PUBLIC RECORDS

ELIGIBILITY

CE DATABASE MONITORING

ALERT GENERATED

VERIFIED BY DCSA

PROFESSIONAL REVIEW, ACTIONS

MONITOR

MITIGATE

MANAGE

CV ENROLLMENT

There are several enrollment methods available for individuals in the DOD's CV program. These enrollment methods only apply to cleared individuals who have an active affiliation with DOD, a signed SF-86 from 2010 or sooner, and have eligibility supporting access to classified information. Three enrollment methods that directly impact industry contractors are:

PREVIOUS ENROLLMENT
Individuals enrolled prior to 2017

01

POST ADJUDICATION
Individuals enrolled after adjudication determination by DOD Consolidated Adjudications Facility (CAF)

02

DEFERMENT OF REINVESTIGATION
Individuals enrolled after new re-investigation requests are screened using a risk-management approach. The SF-86 is analyzed using deferment protocol for enrollment in CV instead of submission to DCSA's investigative department for a traditional periodic reinvestigation (PR).

03

CONTINUOUS VETTING IN TRUSTED WORKFORCE

- Trusted Workforce is a major overhaul of the security clearance process. This initiative will improve the security clearance processes, update the policy framework, and modernize IT capabilities.

- **Trusted Workforce 1.25** uses a risk-managed approach with three automated record checks, agency-specific information, and event-driven investigative activity. TW 1.25 allows for the deferral of an individual's PR

- **Trusted Workforce 1.5** uses a risk-managed approach with seven automated record checks, agency-specific information, and time- and event-driven investigative activity. TW 1.5 satisfies an individual's PR requirement.

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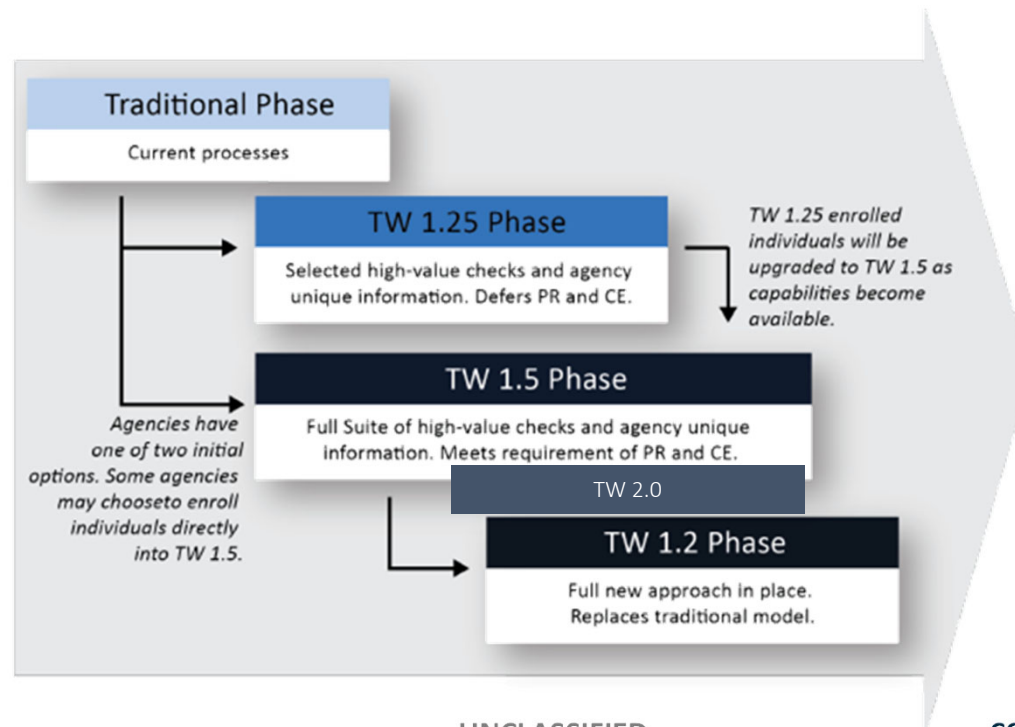
KEY TRUSTED WORKFORCE (TW) DIFFERENCES

TW 1.25 Policy		TW 1.5 Policy
Uses a risk-managed approach with **three** automated record checks, agency-specific information to the extent available, and event-driven investigative activity	Automated Record Checks	Uses a risk-managed approach with **seven categories** of automated record checks, agency-specific information, and time- and –event-driven investigative activity
Data Checks: Eligibility, Terrorism, and Criminal Activity checks (all daily)	Data Categories	**Automated Data Checks:** Eligibility, Terrorism, Criminal Activity, Suspicious Financial Activity, Public Record, Credit Bureau, Foreign Travel
TW 1.25 minimum standards for CV capability allows agencies to **defer** periodic reinvestigations and continuous vetting requirements	Periodic Reinvestigations/ Continuous Vetting	Enrollment in TW 1.5 capability **meets the requirements** of periodic reinvestigations and continuous vetting once they become due
Agency specific data is not required for TW 1.25	Agency Specific Data and Additional Required Checks	**Agency specific data and Additional Checks are a requirement for** TW 1.5: NNCP, NLETS and/or local law checks, Employment Conduct, Other Agency Specific Information
30 September 2021: all Departments/Agencies must enroll their full national security population at a minimum in a TW 1.25 capability	Key Dates	**30 September 2022:** all Departments/Agencies must enroll their full national security population in the TW 1.5 capability

★ TW 1.25 requires manual input and operability

★ TW 1.5 features automated operability in NBIS

THE FUTURE OF PERSONNEL SECURITY

Establish Trust

Maintain Trust

Re-Establish Trust

INITIAL VETTING

CONTINUOUS VETTING

UPGRADING VETTING

RE-ESTABLISHING TRUST

TRANSFER OF TRUST

VROC processes initial eQIP for National Industrial Security Program (NISP) individuals

Individual is enrolled in CE

Will replace the five and 10-year periodic reviews with ongoing, and often automated, determinations of a person's security risk

Individual is enrolled into CE program

Checks will run on pre-determined schedule based on risk in person and position

Initial output of CE Automated Records Checks sets baseline for individual

Will offer a more seamless approach to upgrading security clearance levels as needed

Re-establishment of a clearance after a lapse in continuous vetting, currently known as a "Break in Access"

Reciprocity, as we know it today, will be revamped to make for a smoother transition from one Government agency to another

- The Trusted Workforce 2.0 initiative is an effort to overhaul and improve:
    - the security clearance process
    - the issue of security clearance timeliness, while offering up a risk-based process that looks more strategically at which types of behaviors and positions constitute a security risk – and which do not.
- The revamped vetting will focus on mission needs, outlining five specific vetting scenarios.

DCSA INDUSTRY SUPPORT

Knowledge Center Inquiries

In an effort to continue to protect our workforce during the COVID-19 pandemic, Personnel Security Inquiries (option 1/option 2) of the DCSA Knowledge Center has been suspended until further notice. We will continue to provide status updates via DISS Customer Service Requests and VROC email dcsa.ncr.dcsa-dvd.mbx.askvroc@mail.mil. When calling (888) 282-7682, customers will have the following menu options:

- Personnel Security Clearance Inquiries (e-QIP PIN Resets, Golden Questions & VROC)
- For Industry PIN Resets: **HANG UP** and <u>Call</u> the Applicant Knowledge Center at 724-738-5090
- Email DCSApplicantSupport@nbib.gov
- For all other PCL Related Inquiries, Email dcsa.ncr.dcsa-dvd.mbx.askvroc@mail.mil

Other DCSA Offices

DCSA Policy	DSS.quantico.DSS-hq.mbx.policyhq@mail.mil
DCSA Facebook	https://www.facebook.com/DCSAgov
DCSA Twitter	https://twitter.com/DCSAgov

Background Investigations

DCSA's System Liaison	724-794-5612, Ext. 4600 or eQIP@nbib.gov
For Technical Issues with e-QIP	866-631-3019
For Agent's/ Investigator's Identity or Status	1-888-795-5673 or RMFSIMSST@nbib.gov

DOD CAF Call Center

Phone	301-833-3850* (SSOs and FOSs ONLY) Option 5 –Industry
Email	dcsa.meade.dcsa-dvd.mbx.dodcaf-callcenter@mail.mil

* Temporarily suspended due to COVID-19

DOHA

Phone	866-231-3153
Website	https://ogc.osd.mil/Defense-Office-of-Hearings-and-Appeals/

DMDC Contact Center

Phone	1-800-467-5526
Website	dmdc.contactcenter@mail.mil
Menu Options	1 – DISS 2 – CE 4 – e-QIP 5 – SWFT 6 – DCII 7 – PerSec/ General Questions 8 – STEPP/ISFD/FCL

June 2021

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Questions & Answers

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