

CHANGED CONDITIONS AND FACILITY PROFILE UPDATES

FOR NAESOC FACILITIES

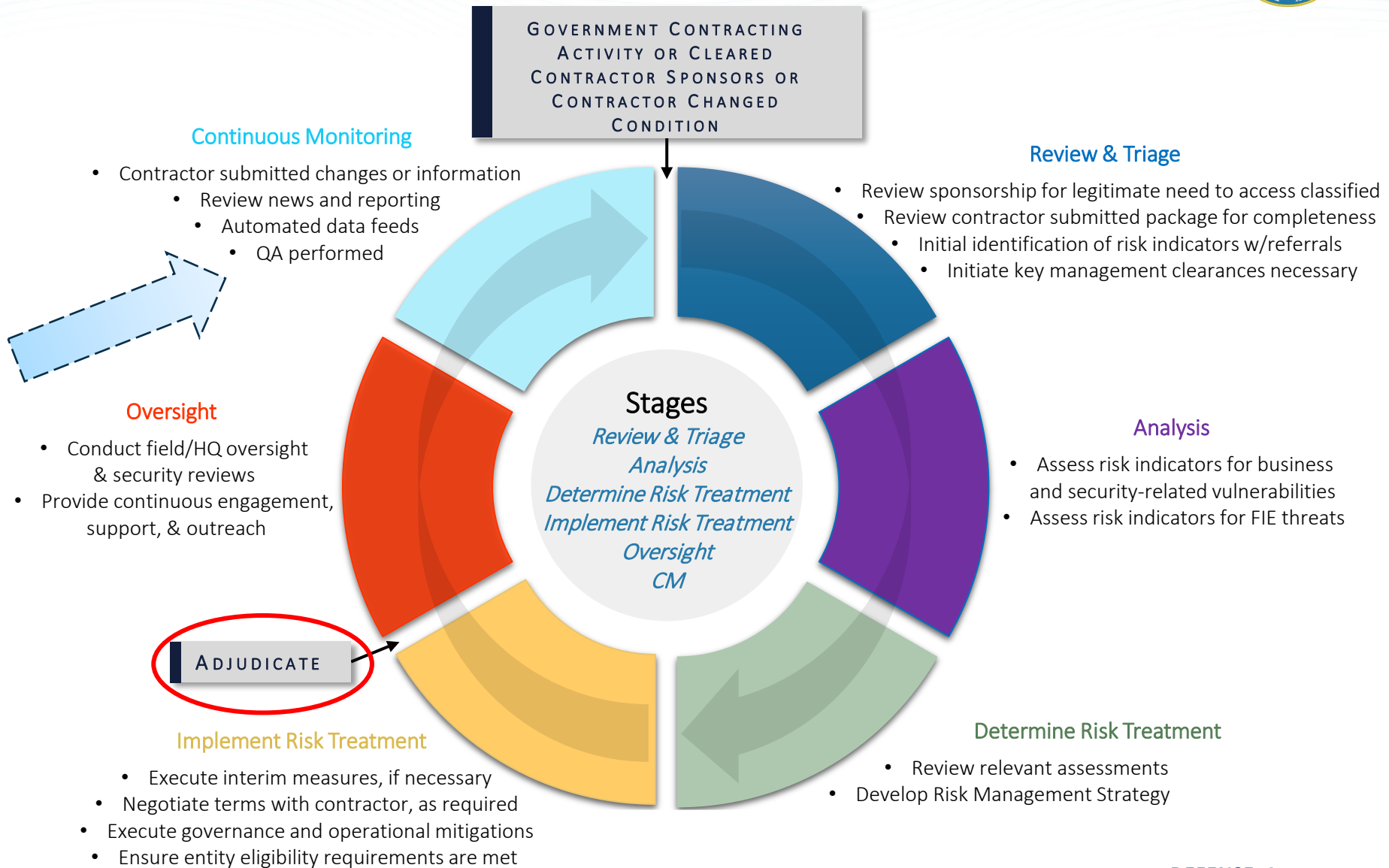
DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY

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DCSA Facility Clearance Lifecycle





What is a Changed Condition?

Any change to the baseline established at the issuance of the entity eligibility determination/facility clearance.



Changed Conditions in the NISPOM

32 CFR 117.8(c)(7) Changed conditions affecting the contractor's eligibility for access to classified information.

Contractors are required to report certain events that affect the status of the entity eligibility determination (e.g., FCL), affect the status of an employee's PCL, may indicate an employee poses an insider threat, affect the proper safeguarding of classified information, or indicate classified information has been lost or compromised, including:

- (i) Change of **ownership** or **control** of the contractor, including **stock transfers** that affect control of the entity.
- (ii) Change of **operating name** or **address** of the entity or any of its locations determined eligible for access to classified information.
- (iii) Any **change to the information previously submitted for KMP** including, as appropriate, the names of the individuals the contractor is replacing. A new complete KMP listing need be submitted only at the discretion of the contractor or when requested by the CSA. The contractor will provide a statement indicating:
 - (A) Whether the new KMP are cleared for access to classified information, and if cleared, to what level they are cleared and when they were cleared, their dates and places of birth, social security numbers, and citizenship.
 - (B) Whether they have been excluded from access to classified information in accordance with § 117.7(b)(5)(ii).
 - (C) Whether they have been temporarily excluded from access to classified information pending the determination of eligibility for access to classified information in accordance with § 117.9(g).
- (iv) Any **action to terminate business or operations** for any reason, imminent adjudication or reorganization in **bankruptcy**, or any change that might affect the validity of the contractor's eligibility for access to classified information.
- (v) Any material **change concerning the information previously reported concerning foreign ownership, control, or influence (FOCI)**. This report will be made by the submission of an updated SF 328, "Certificate Pertaining to Foreign Interests," in accordance with CSA-provided guidance. When submitting this information, it is not necessary to repeat answers that have not changed. When entering into discussion, consultations, or agreements that may reasonably lead to effective ownership or control by a foreign interest, the contractor will report the details to the CSA in writing. If the contractor has received a Schedule 13D from the investor, the contractor will forward a copy with the report.



Changed Conditions NISPOM Breakout

Ownership

Control

Stock Transfers

Operating Name

Address

Key Management Personnel

Termination

Bankruptcy

Foreign Ownership, Control, or Influence (FOCI)



Foundational Documents

- Articles of Organization
- Certificate of Formation
- Certificate of Incorporation
- Certificate of Limited Partnership
- Legal Organization Chart
- Stock Ledger
- Ownership or Control Worksheet
- Most Recent SEC Filings/SEC Schedule 13D/13G
- Member Interest List
- Shareholder Agreement
- By-Laws
- Operating Agreement
- Joint Venture Agreement
- Partnership Agreement
- Charter
- Meeting Minutes
- Exclusion Resolutions (Parent, Subsidiary, Officer/Director/Member)
- SF 328 (with supporting information)
- KMP list
- DD Form 441/DD 441-1
- FSO/ITPSO Appointment Letters



Changed Conditions in the NAESOC

NAESOC companies are centrally managed (no ISR assigned) and have access to NAESOC Helpdesk.

Changed Condition Evaluation is based on documents and records available in NISS.

It is normal for packages to be returned for clarification and additional documents.



What is a Facility Profile Update?

- Facility Overview
 - Basic company information and contact data
- Business Information
 - Type of work your company does and active contract
- FOCI & International
 - Foreign visits, foreign travel, and exports
- Safeguarding
 - Employee counts and classified holdings



Facility Profile Updates for NAESOC Facilities

Active Classified Contracts

- Special Briefings
- Period of Performance

Contact Information

- Essential KMP
- Security Staff

Security Review Prep

- Safeguarding Tab
- Number of Employees



Details

SUPPORT HOME

KNOWLEDGE BASE

Topics

New Topic

References and Helpful Links

- Common Mistakes
- Sponsorship Submissions
- FAQs
- Training Videos
- **FCL Change Conditions**
- PSI Survey
- Initial FCL Package
- RISO
- Messaging
- Facility Clearance Verification
- Annual Self Inspection Certification
- Knowledge Base
- System Notices
- Troubleshooting
- **Facility Profile Update Request**
- Requesting NISS Account
- COVID-19 Guidance
- Voice of Industry Newsletters
- DCSA Cyber Security
- Mass Notifications
- Field Office Locations

Search the Knowledge Base for an answer

New Article



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| July 2024 - VOI Newsletter (1) | June 2024 - VOI Newsletter (1) |
| May 2024 - VOI Newsletter (3) | DCSA Industrial Security Field Office Locations (Apr. 24, 2024) (27) |
| April 2024 - VOI Newsletter (2) | March 2024 - VOI Newsletter (5) |
| Personnel Security Investigation (PSI) Data Collection Job Aid (2024) (1) | February 2024 - VOI Newsletter (1) |
| January 2024 - VOI Newsletter (3) | November 2023 - VOI Newsletter (5) |
| October 2023 - VOI Newsletter (2) | September 2023 - VOI Newsletter (2) |
| September 11 2023 - VOI Newsletter (SPECIAL BULLETIN) (27) | Contractor and Ownership Control (2) |
| August 2023 - VOI Newsletter (0) | Annual Industry Checkup Tool (17) |
| July 2023 - VOI Newsletter (4) | June 2023 - VOI Newsletter (6) |
| May 2023 - VOI Newsletter (4) | Viewing Mass Notifications (6) |
| April 2023 - VOI Newsletter (21) | March 2023 - VOI Newsletter (4) |
| Foreign Travel Brief - March 2023 (80) | |



NAESOC Website and Contact Information

NAESOC Knowledge Center
1(888) 282-7682, option 7
(NAESOC)

NAESOC General Mailbox
[dcsa.naesoc.general
mailbox@mail.mil](mailto:dcsa.naesoc.generalmailbox@mail.mil)

Home > Industrial Security > The National Access Elsewhere Security Oversight Center (NAESOC)

National Industrial Security Program Oversight +

Entity Vetting, Facility Clearances & FOCI +

The National Access Elsewhere Security Oversight Center (NAESOC) -

NAESOC Resources

Field Locations

NISP Authorization Office (NAO)

International Programs +

Special Access Programs & Templates

Arms, Ammunition & Explosives (AA&E)

Controlled Unclassified Information (CUI) +

Cogswell Awards

The National Access Elsewhere Security Oversight Center (NAESOC) is designed to provide consistent oversight and security management for select facilities who do not possess classified information on-site ("access elsewhere").

Please review the below tabs to identify tools that can assist you in supporting your facility's security program. All tabs are "self-help" tabs and you will find, topics, tools, questions and answers that will prepare you for meeting your needs and requests. If you cannot find what you are looking for, please email the NAESOC General Mailbox.

[Schedule local ISAC or NCMS speaking request](#)

[Learn more about the NAESOC](#)

Contact Us

Mail
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Help Desk
(878) 274-1800
LIVE AGENTS AVAILABLE
M-TH 09:00 to 3:00 p.m.
Friday 08:00 to 2:00 p.m.

Email
dcsa.naesoc.generalmailbox@mail.mil
NISS Messenger always available

[ESCALATE AN EXISTING INQUIRY →](#)

NAESOC Latest Incident Reporting **FCL Changed Conditions** Insider Threat

FCL Changed Conditions:

Change Conditions are those organizational changes that could affect the Facility Clearance.

Change Conditions that are required to be reported include:

- Ownership, including stock transfers

