# Kickoff of the CUI Awareness Campaign

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY



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August 1, 2024

### **Welcome-Presenter Introductions**



#### Jovanna Frazier

Information Security Course Manager and Instructor

Center for Development of Security Excellence (CDSE)

Defense Counterintelligence and Security Agency (DCSA)



- 20+ years of adult education and information security experience
- Facilitates and instructs in-person CDSE course modules on information security
- Maintains and updates CDSE eLearning courses and websites on information security

### Peggy Ushman

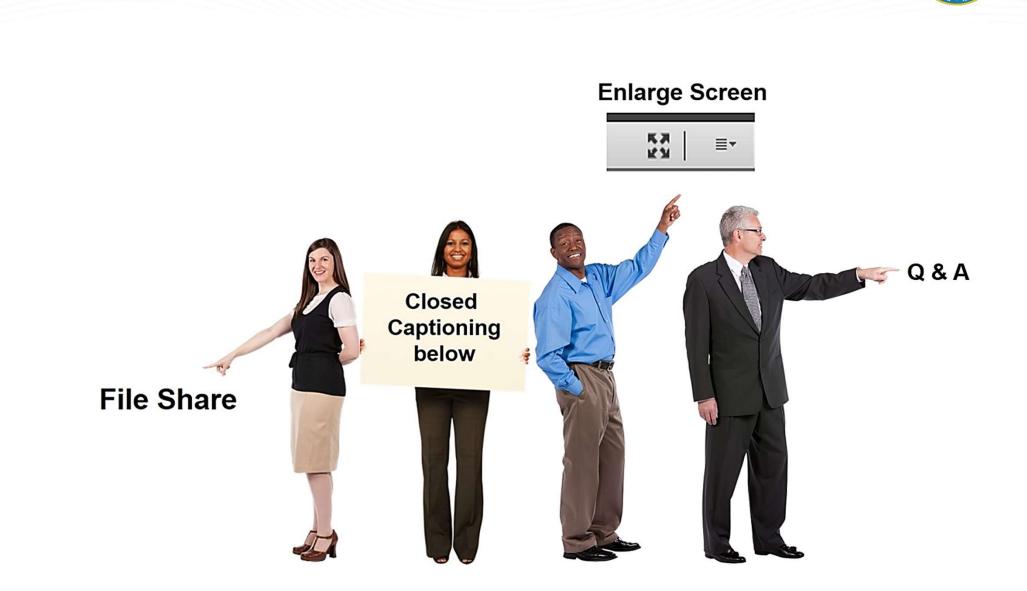
Information Security Analyst

Office of the Under Secretary of Defense for Intelligence and Security (OUSD I&S)



- 20+ years of DOD, military, and information security experience
- DOD SME for policies on information security, security classification guides, and controlled unclassified information
- Maintains the DOD Controlled Unclassified Information (CUI) Program webpage

#### **Adobe Connect-Navigation**



#### Agenda

- What is CUI?
- Introduction to the DOD CUI Program
- DOD CUI policy
- CUI FAQs
- DOD CUI Program website
- Information Security Oversight Office (ISOO) website
- CDSE CUI-related resources
- Q&A







#### At the end of today's session, you should be able to:

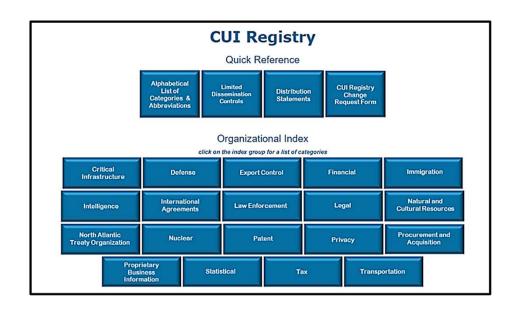
- Define CUI.
- Determine the purpose of the DOD CUI Program.
- Apply DOD CUI policy guidance in the workplace.
- Articulate the answers to FAQs regarding protecting CUI in the DOD.
- Navigate to DOD CUI training aids and resources.



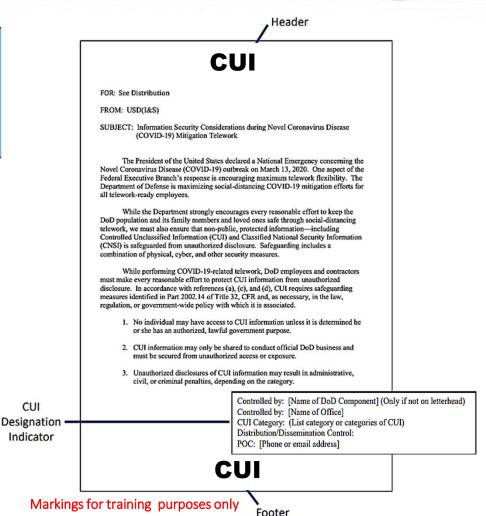
#### **Definition of CUI - The Basics**



CUI: Unclassified information requiring safeguarding and dissemination controls, consistent with applicable law, regulation, or government-wide policy.



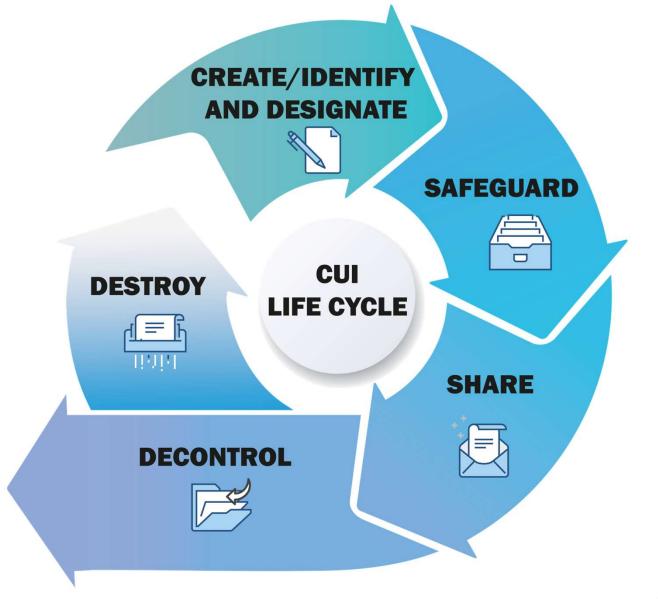
DOD CUI Registry provides an official list of the Indexes and Categories used to identify the various types of DOD CUI.



Mandatory markings include "CUI" in the banner and footer and the CUI Designation Indicator block.

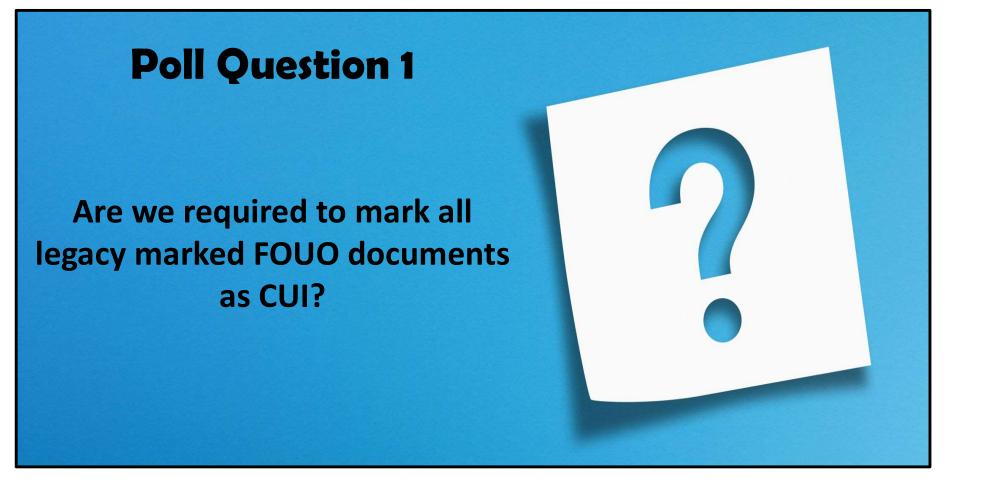
#### **CUI Lifecycle**





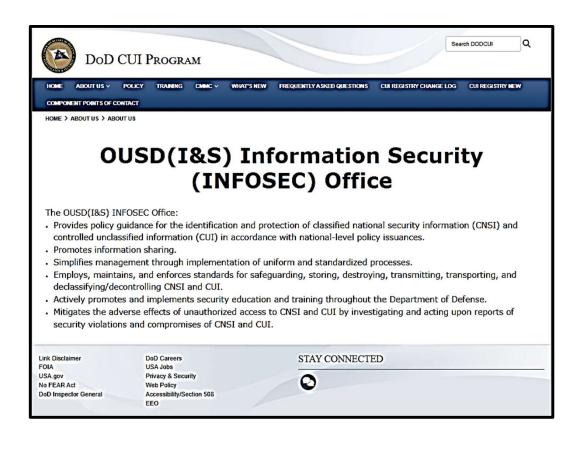








#### **DOD CUI Program**





#### DOD CUI Program: Established in compliance with the national-level CUI program

#### Program responsibilities include:

- Establishing DOD
   CUI policy
- Maintaining DOD CUI Registry

### **DOD CUI Policy**



Designation, handling, and decontrolling of CUI in accordance with DOD Instruction 5200.48 "Controlled Unclassified Information" March 6, 2020

The DOD CUI Program staff and DCSA's CDSE provide education, training, and awareness resources to help DOD individuals and components implement and apply the policy



#### DOD INSTRUCTION 5200.48

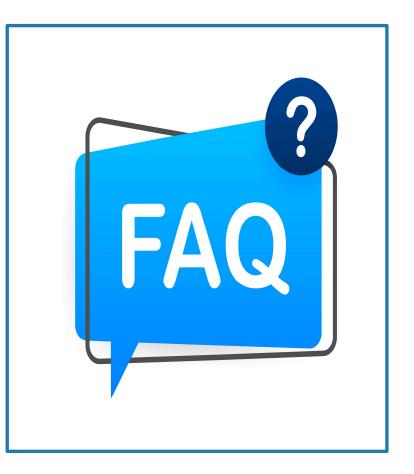
#### CONTROLLED UNCLASSIFIED INFORMATION (CUI)

Originating Component:	Office of the Under Secretary of Defense for Intelligence and Security
Effective:	March 6, 2020
Releasability:	Cleared for public release. Available on the Directives Division Website at https://www.esd.whs.mil/DD/.
Cancels:	DoD Manual 5200.01, Volume 4, "DoD Information Security Program: Controlled Unclassified Information," February 24, 2012, as amended
Approved by:	Joseph D. Kernan, Under Secretary of Defense for Intelligence and Security (USD(I&S))



#### What are frequently asked questions about DOD CUI?

- Are all legacy-marked FOUO documents now CUI?
- I have a document that contains PII. Do I have to mark it as CUI?
- Can we share CUI with Congress? Foreign allies and partners?



#### **DOD CUI Program Website-Home**



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#### **DOD CUI Program Website-FAQ Page**

DoD CUI Proc	FRAM			Sea	rch DODCUI
HOME ABOUT US Y POLICY TRAIN	NG CMMC ~	WHAT'S NEW	FREQUENTLY ASKED QUESTIONS	CUI REGISTRY CHANGE LOG	CUI REGISTRY NEW
COMPONENT POINTS OF CONTACT					
HOME > FREQUENTLY ASKED QUESTIONS					
Frequently Asked Question	ons				
CUI Cover Sheets					
Distribution Statements FOUO					
Information Sharing					
Privacy/PII					
Telework					
Training Certificates					

#### https://www.dodcui.mil/Frequently-Asked-Questions/

#### **DOD CUI Program Website - FAQs: PII and CUI**



Is my CAC CUI because it contains the DoD ID number (EDIPI)?

No, your CAC is not CUI; however, it is a controlled item.

Is my digital signature CUI because it contains my name and EDIPI?

No, your digital signature is not CUI.

I have a document that contains Pll. Do I have to mark it as CUI?

Not necessarily. It depends on what PII is on the document. The document becomes CUI when individual pieces of PII are combined which can then be used collaboratively to identify a specific individual. Examples of PII include:

Full SSN or truncated SSN (such as last four digits)

- · Date of birth (month, day, and year)
- Passport number
- Driver's license number
- Patient identification number
- · Financial account or credit care number
- Personal address
- Biometric records
- · Citizenship or immigration status
- · Ethnic or religious affiliation
- Sexual orientation
- Criminal history
- Medical information
- · System authentication information such as mother's maiden name, account passwords, or personal identification numbers

#### https://www.dodcui.mil/Frequently-Asked-Questions/#Privacy/PII

### **DOD CUI Program Website-FAQs: Information Sharing**

Information Sharing

#### Can we share CUI with Congress?

Yes. It is DoD policy to provide Congress with all the information it needs to conduct effective oversight. Any Member of Congress and their personal or professional staff are authorized to receive and share CUI from DoD.

#### Can I share CUI with foreign allies and partners?

Yes, you may share CUI with our foreign allies and partners unless sharing with that country is specifically restricted. However, DoD Components and sub-Components still have the latitude to restrict sharing in accordance with their specific policies.

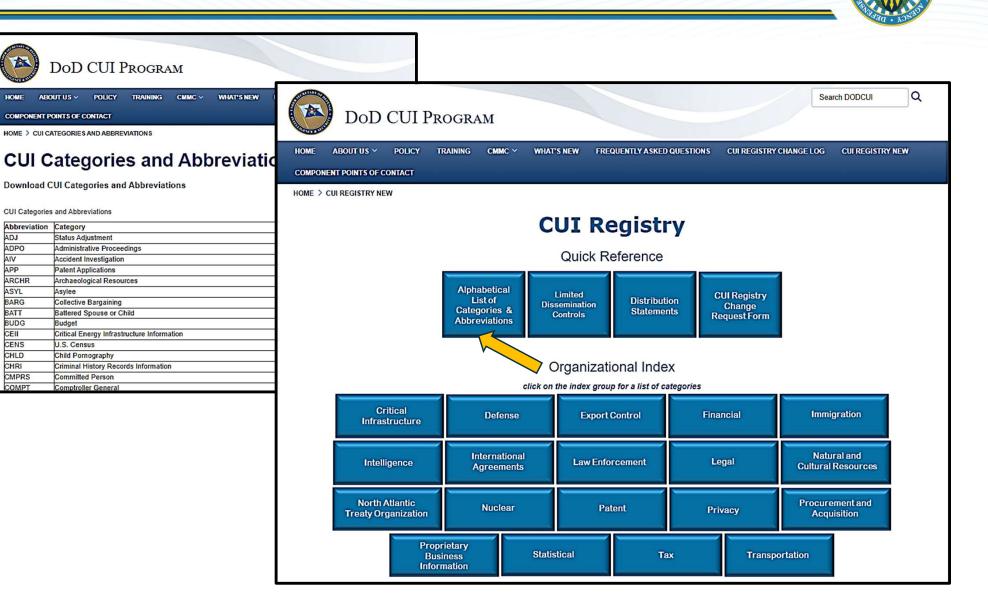
https://www.dodcui.mil/Frequently-Asked-Questions/#Information%20Sharing

#### **DOD CUI Program Website-Policy**

DoD CUI Program	Search DODCUI
OME ABOUT US ~ POLICY TRAINING CMMC ~ WHAT'S NEW FREQUENTLY ASKED QUESTIONS CUI REGIST OMPONENT POINTS OF CONTACT	RY CHANGE LOG CUI REGISTRY NEW
ME > POLICY	
Policies	Forms
Accutive Order 13556 Entrolled Unclassified Information is order establishes an open and uniform program for managing information that requires safeguarding or dissemination controls resuant to and consistent with law, regulations, and Government-wide policies, excluding information that is classified under ecutive Order 13526 of December 29, 2009, or the Atomic Energy Act, as amended.	SF 901 SF 901 CUI
Code of Federal Regulations, Part 2002 Introlled Unclassified Information e implementing directive describes the executive branch's Controlled Unclassified Information (CUI) Program (the CUI gram) and establishes policy for designating, handling, and decontrolling information that qualifies as CUI.	CUI Policy Memoranda
D Instruction 5200.48 ntrolled Unclassified Information (CUI) ablishes policy, assigns responsibilities, and prescribes procedures for CUI throughout the DoD in accordance with Executive ler (E.O.) 13556; Part 2002 of Title 32, Code of Federal Regulations (CFR); and Defense Federal Acquisition Regulation splement (DFARS) Sections 252.204-7008 and 252.204-7012.	20200413 Clarification to Controlled Unclassified Information Policy Regarding Disclosures to Members of Foreign
Related Policies	Governments, 20211129 Clarifying Guidance for Marking and Handling CTI, 20210321
D Instruction 5230.24 stribution Statements on DoD Technical Information lablishes a standard framework and markings for managing, sharing, safeguarding, and distributing technical information in cordance with national and operational security, privacy, records management, intellectual property, Federal procurement, and	Use of Non-Government Owned Mobile Devices, 20220810
NIST Publications	Clarifying Guidance for CUI Training Requirements, 20230630
	Revised Policy on Sharing CUI with Foreign Entities, 20240131



#### **DOD CUI Program Website-DOD CUI Registry**



#### **DOD CUI Program Website-DOD CUI Registry: Category**

HOME > PRIVACY > GENERAL PRIVACY

**General Privacy** 

Category Abbreviation:

PRVCY

Category Description:

Refers to personal information, or, in some cases, "personally identifiable information," as defined in OMB M-17-12, or "means of identification" as defined in 18 USC 1028(d)(7).

Required Warning Statement:

May require a Privacy Act Statement

Required Dissemination Control:

Examples	Authorities
<ul> <li>Records retrieved from a Government system</li> <li>Examples of PII:</li> </ul>	5 CFR 297.401 5 USC 552a(b) OMB M-17-12
<ul> <li>Social security number</li> <li>Driver's license or state identification number</li> <li>Alien Registration Number</li> </ul>	
<ul> <li>Financial account number</li> <li>Biometric identifiers (fingerprint, voiceprint, iris scan)</li> </ul>	
<ul> <li>Date of birth</li> <li>Full name</li> <li>Citizenship or immigration status</li> <li>Ethnic or religious affiliation</li> <li>Criminal history</li> </ul>	

- · System authentication information
- (passwords, PINs)



**CUI Registry** 

Links to Privacy Categories Contract Use

Death Records Genetic Information Health Information Inspector General Protected Military Personnel Records Personnel Records Student Records

Applicable DoD Policies DoDI 5400.11

#### **DOD CUI Program Website-DOD Procurement Toolbox**



AND SECURITY AGENCY

opportunity to create and print a certificate. If you take the training through a learning management system, you should	CUI Training	Tools			DoD Presenta	tions
receive a certificate. If you have any problems with getting a certificate, please talk to your training manager. There is no certificate if you take the training through the Security Awareness Hub.		D Procurement	Toolbox	Appropriate I Information i	Use of Controlle n DoD	ed Unclassified
CUI Shorts Workplace Reference	of tools and your organi share procu	services to h	ge, enable, and mation across			
CUI Life Cycle Short #1: Create/Identify and Designate CUI	Toolbox	FAQs	Policy			
Government Furnished Property   PIEE   eBusiness	<ul> <li>✓ Purchase Card ▼</li> </ul>	Cybersecurity -	Procure to Pay (P2P)	Contract Closeout -		aarch
Government Furnished Property  PIEE Business Department of Defense Procurement Toolbox	Purchase Card	<u> </u>	rrity in DoD Acquisition		SAM.gov -	
A collection of tools and services to help you and y	our organization mana	ge, enable, and shar	e procurement informat	ion across the Depart	ment of Defense.	
Latest News	Events		📛 Upc	oming Events		
	SFP Basics Jul 16, 1 - 2 PM EST		July	2024	today 🔇	>
August 1, 2024		UNCLASSIFI			OUNTERINTE	DEFENSE   LLIGENCE   19

### **DOD CUI Program Website-Training**



Training page links to training and aids developed and maintained by:

- DOD CUI Program staff
- Information Security Oversight Office (ISOO)

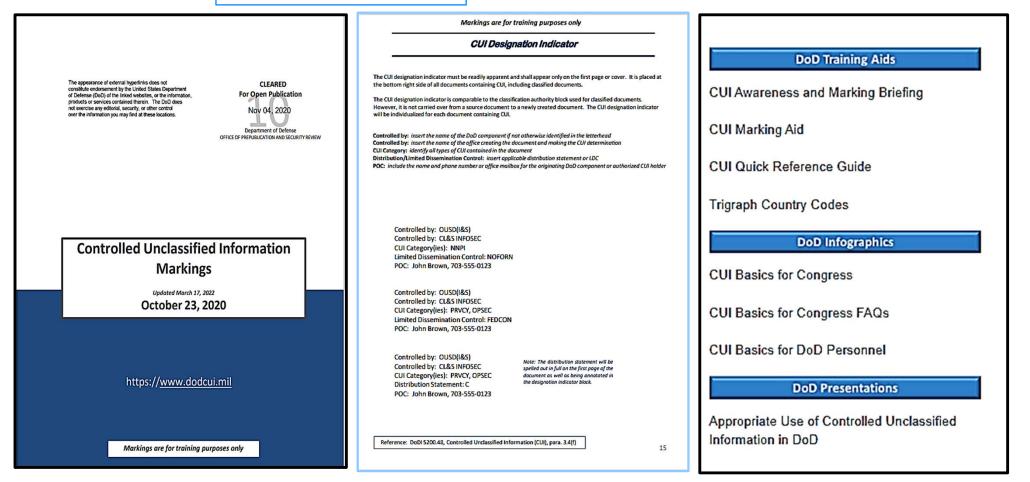
• CDSE

DoD CUI Program		Search DODCUI Q
HOME ABOUT US V POLICY TRAINING CMM Component points of contact	IC - WHAT'S NEW FREQUENTLY ASKED QUESTIONS	CUI REGISTRY CHANGE LOG CUI REGISTRY NEW
HOME > TRAINING		
Center for Development of Security Excellence (CDSE)	Information Security Oversight Office (ISOO)	DoD Training Aids CUI Awareness and Marking Briefing
CUI Mandatory Training Course * CDSE Home Page		CUI Marking Aid
CDSE Security Awareness Hub	INFORMATION	CUI Quick Reference Guide
CDSE Information Security Page	CUI Blog	Trigraph Country Codes
CDSE CUI Toolkit	CUI Briefing	DoD Infographics CUI Basics for Congress
<ul> <li>The CUI Mandatory Training Course is the official initial CUI training course and also meets the annual refresher training requirement.</li> </ul>	CUI History CUI Policy and Guidance	CUI Basics for Congress FAQs
Certificates: There is a lest at the end of the CDSE mandatory training course. When you finish the test, you have the opportunity to create and print a certificate. If you take the training through a learning management system, you should	CUI Registry CUI Training Tools	CUI Basics for DoD Personnel DoD Presentations
receive a certificate. If you have any problems with getting a certificate, please talk to your training manager. There is no certificate if you take the training through the Security Awareness Hub.	DoD Procurement Toolbox	Appropriate Use of Controlled Unclassified Information in DoD
CUI Shorts	The Procurement toolbox is a collection of tools and services to help you and your organization manage, enable, and share procurement information across the Department of Defense. Toolbox FAQs Policy	

### **DOD CUI Program Website-Training: DOD CUI Program**

Links to training and aids developed and maintained by DOD CUI Program staff

#### CUI Marking Aid



### **DOD CUI Program Website-Training: ISOO**



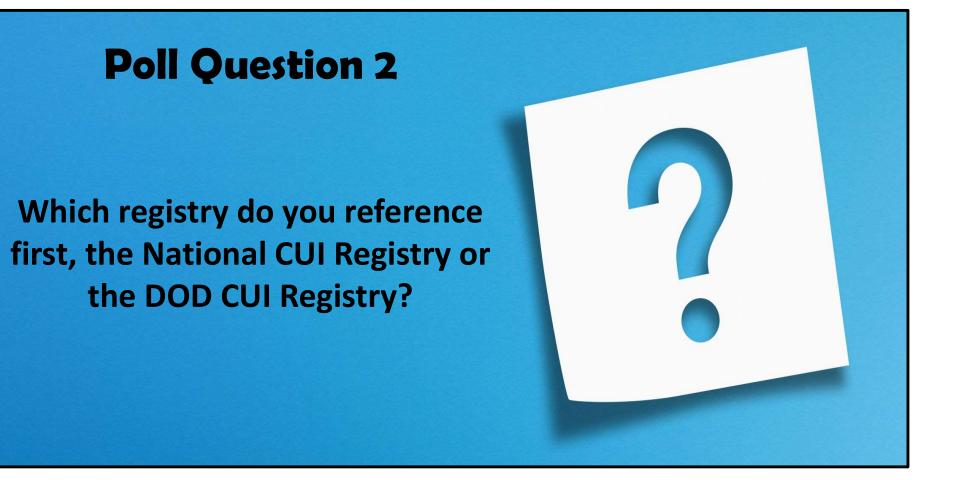
Date	Number	Title
9/07/2022	CUI Notice 2022-01	Executive Agent Guidance Regarding White House National Security Council (NSC) Memorandum, "Initiating a Process to Review Information Management and Classification Policies," June 2, 2022 🕒 .
10/05/2020	CUI Notice 2020-07	Using Alternate Designation Indicators (ADI) with CUI
10/05/2020	CUI Notice 2020-06	Limited Marking Waiver Best Practices to Alert Users of CUI
08/25/2020	CUI Notice 2020-05	Using Exigent Circumstances Waivers 🖄
06/16/2020	CUI Notice 2020-04	Assessing Security Requirements for CUI in Non-Federal Information Systems 🕒
06/03/2020	CUI Notice 2020-03	CUI Non-Disclosure Agreement Template Memo 🕒
	CUI Notice 2020-03a	CUI Non-Disclosure Agreement Template (Attachment) 🕅
06/03/2020	CUI Notice 2020-02	Alternate Marking Methods 🕒

CUI Notices and Executive Agent Guidance <u>https://www.archives.gov/cui</u>

Information Security Oversight Office (ISOO) Controlled UNCLASSIFIED INFORMATION CUI Blog **CUI Briefing** CUI History CUI Policy and Guidance **CUI Registry CUI Training Tools** 







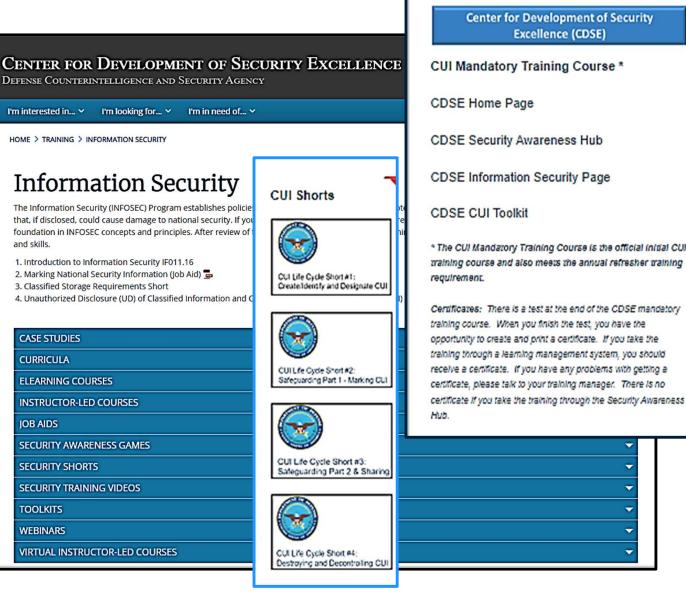
### **DOD CUI Program Website-Training: CDSE**



Types of CDSE CUIrelated education, training and awareness products:

- eLearning courses
- Job aids
- Security Shorts
- Toolkits

• Webinars



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#### **CDSE CUI Resources- eLearning**

**CDSE CUI-related eLearning courses:** 

• "DOD Mandatory Controlled Unclassified Information (CUI)"

The official, mandatory DOD CUI training course addressing the 11 CUI training requirements IAW DODI 5200.48 and 32 CFR Part 2002

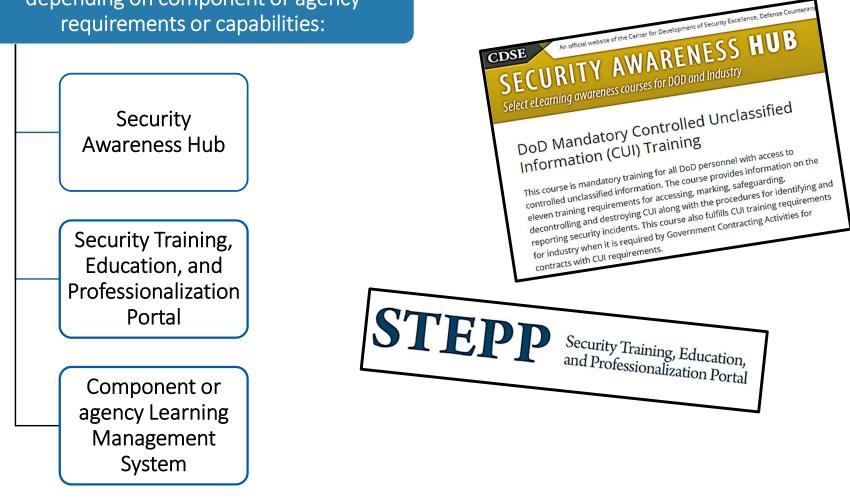
 "Unauthorized Disclosure of Classified Information and Controlled Unclassified Information"



#### **CDSE CUI Resources-Accessing E-Learning**



Three ways to access "DOD Mandatory Controlled Unclassified Information (CUI)", depending on component or agency requirements or capabilities:



### **CDSE CUI Resources-Job Aid**



How to Respond to an Unauthorized Disclosure (UD) of Classified and Controlled Unclassified Information (CUI)

Center for Development of Security Excellence

**CDSE** training

Disclaimer: All the information in this document is derived from references but not verbatim from policy. Please also refer to your component specific guidance. For incidents occurring at contractor locations, please follow guidance in the 32 CFR Part 117, National Industrial Security Program Operating Manual (NISPOM) and the <u>DCSA Security</u> Incident Job Aid.

Reference: DODM 5200.01 Vol. 3: Protection of Classified Information			
	Person(s) Responsible Individual Who Discovered the Unauthorized Disclosure (UD)		
Steps	Action		
Safeguard	Take custody of and safeguard the material if possible and immediately notify the appropriate security authorities (i.e., keep the material in your direct possession until it can be secured in a General Services Administration (GSA) approved security container, or you can surrender control of the material to the owner or your local security official).		
	(Note: If classified information appears in the public media, including on public internet sites, or if a representative of the media approaches you, please refer to DODM 5200.01 Vol. 3; do not respond to the information if it is on social media, and do not print it if it is from a digital media outlet.)		
Report	All personnel should report the incident to the DOD Activity Security Manager.  If the Activity Security Manager is believed to be involved, report to security authorities at the next higher level.		

#### **CDSE CUI-related Job Aid:**

"How to Respond to Unauthorized Disclosure (UD) of Classified and Controlled Unclassified Information (CUI)"

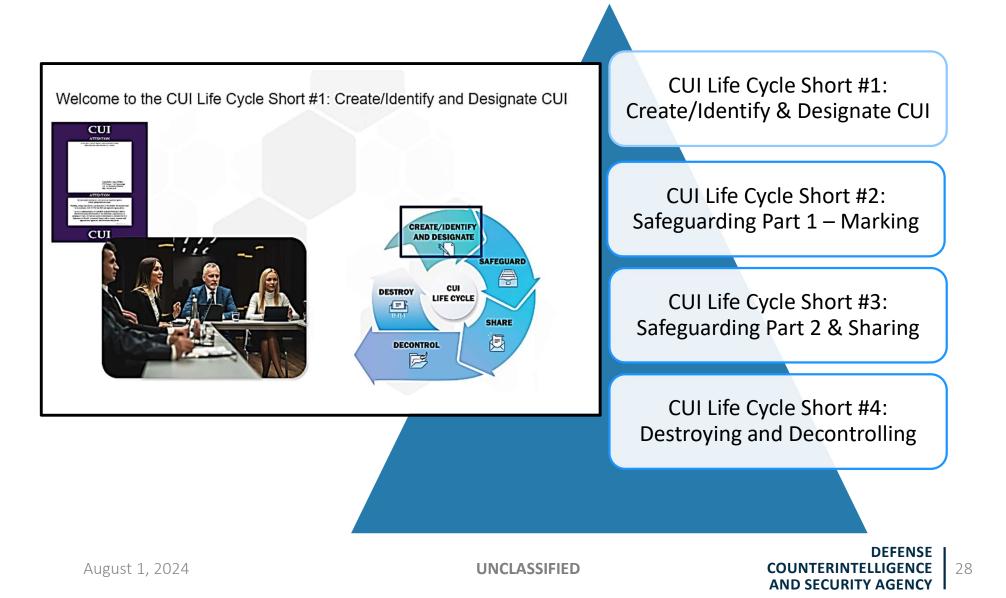
Explains guidance regarding UD from DOD Manual 5200.01 Volume 3 and DODI 5200.48

https://www.cdse.edu/Portals/124/Documents/jobaids/information/Reporting-UD-Job-Aid.pdf

#### **CDSE CUI Resources-Security Shorts**



#### CDSE CUI-related Security Shorts:

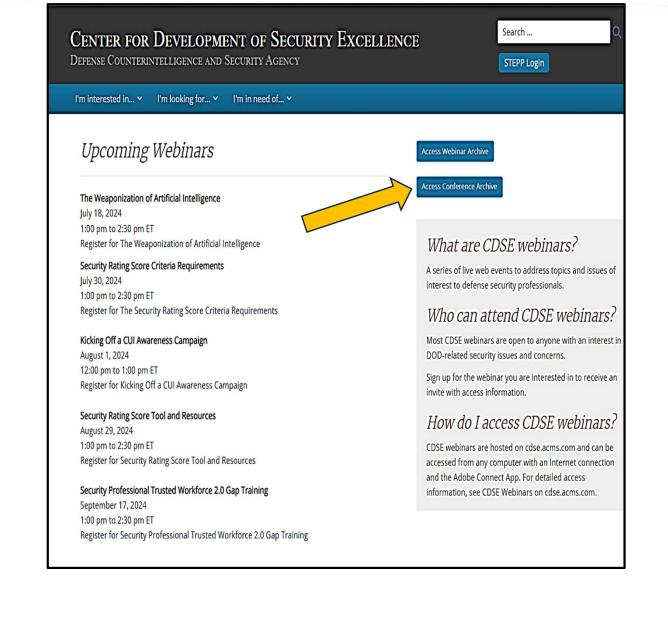


### **CDSE CUI Resources-Webinar**

CDSE webinars:

- Live web-based events
- Archived for future viewing at:

https://www.cdse.edu/Training/ Webinars-and-Conferences/Webinar-Archive/



### Conclusion

#### Now you should be able to:

- Define CUI.
- Determine the purpose of the DOD CUI Program.
- Apply DOD CUI policy guidance in the workplace.
- Articulate the answers to FAQs regarding protecting CUI in the DOD.
- Navigate to DOD CUI training aids and resources.





## **Questions?**

DEFENSE COUNTERINTELLIGENCE AND SECURITY AGENCY





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