

## OD/PH Module 6 - Annual Implementation & Compliance Report Checklist

Questions
<b>Q1.</b> What is working or not working in the compliance of the Agreement?
<b>A1.</b>
<b>Q2.</b> Any issues with complying with the Agreement, NISPOM, or other laws and regulations?
<b>A2.</b>
<b>Q3.</b> Do security controls, practices, or procedures warrant adjustments?
<b>A3.</b>
<b>Q4.</b> Any issues with complying with the security compliance measures such as the ECP, TCP, AOP, and FLP?
<b>A4.</b>
<b>Q5.</b> Do any of the Visitation policy or oversight measures need adjustments? Any compliance issues?
<b>A5.</b>
<b>Q6.</b> Any new classified contracts or discontinued contracts or classified programs?
<b>A6.</b>
<b>Q7.</b> Is the management of Export Controlled Information and/or violations being handled properly and within the Export laws and by-laws agreements?
<b>A7.</b>
<b>Q8.</b> Does the GSC have any FOCI and industrial security questions?
<b>A8.</b>
<b>Q9.</b> Any changes or issues with the current active classified contracts and government customers?
<b>A9.</b>
<b>Q10.</b> Are there any financial challenges with the company?
<b>A10.</b>
<b>Q11.</b> How is the interaction with the Foreign Parent/Affiliates and the Inside Director (SCA, SSA)?
<b>A11.</b>
<b>Any Best Practices and/or process improvements?</b>