CUI Quick Marking Tips

Content in this Job Aid is a combination of Policy and or Best Practices
For additional Guidance please refer to the DOD CUI Program Website [www.dodcui.mil](http://www.dodcui.mil)

Click the links below to jump to each topic

<table>
<thead>
<tr>
<th>Banner &amp; CUI Designation Indicator</th>
<th>LDC – DISTRO</th>
<th>PORTION Marking</th>
</tr>
</thead>
<tbody>
<tr>
<td><img src="image1.png" alt="Banner &amp; CUI Designation Indicator" /></td>
<td><img src="image2.png" alt="LDC – DISTRO" /></td>
<td><img src="image3.png" alt="PORTION Marking" /></td>
</tr>
<tr>
<td>Administrative Markings</td>
<td>Coversheets &amp; Labels</td>
<td>Waivers - Legacy</td>
</tr>
<tr>
<td><img src="image4.png" alt="Administrative Markings" /></td>
<td><img src="image5.png" alt="Coversheets &amp; Labels" /></td>
<td><img src="image6.png" alt="Waivers - Legacy" /></td>
</tr>
<tr>
<td>Decontrol</td>
<td>IT Systems</td>
<td>Email</td>
</tr>
<tr>
<td><img src="image7.png" alt="Decontrol" /></td>
<td><img src="image8.png" alt="IT Systems" /></td>
<td><img src="image9.png" alt="Email" /></td>
</tr>
<tr>
<td>Shipping/Mailing</td>
<td>Transmittal Documents</td>
<td>Co-mingled</td>
</tr>
<tr>
<td><img src="image10.png" alt="Shipping/Mailing" /></td>
<td><img src="image11.png" alt="Transmittal Documents" /></td>
<td><img src="image12.png" alt="Co-mingled" /></td>
</tr>
<tr>
<td>Policy &amp; Forms</td>
<td>PPTs &amp; Spreadsheets</td>
<td>Storage</td>
</tr>
<tr>
<td><img src="image13.png" alt="Policy &amp; Forms" /></td>
<td><img src="image14.png" alt="PPTs &amp; Spreadsheets" /></td>
<td><img src="image15.png" alt="Storage" /></td>
</tr>
</tbody>
</table>
CUI Banner & CUI Designation Indicator Markings

The mandatory marking for all DOD CI is the **CUI Banner/Footer** with the **CUI Designation Indicator**. This is the main marking which appears at the top and bottom of all documents containing CUI. The Banner/Footer markings must appear as *bold capitalized text and be centered at the top and bottom of every page*. Even if there is CUI only on one page, the entire document must be marked as CUI. Pages not containing CUI may be marked as “UNCLASSIFIED” or “CUI” at the discretion of the authorized holder or originator.

All documents containing CUI must have a **CUI Designation Indicator** to notify the recipient about information related to who originated the document. This may be accomplished through the use of letterhead and the four additional lines. If no letterhead is used, then a fifth line is required. The CUI DI Block is placed in the lower right hand corner or footer of the first page only. Best practice is to also include contact information such as a group email address or central phone number.

The CUI markings are explained in more detail with examples in the DOD CUI Marking Job Aid found at [www.dodcui.mil](http://www.dodcui.mil).

**CUI “SPECIFIED”**

**DOD IS NOT** implementing the distinction between “CUI Basic” and “CUI Specified”, however, other members of the Executive Branch of the Federal Government may choose to do so. Here is some general information related to it;

The letters **CUI** plus **2 slashes**, plus **SP-** followed by the CUI Category abbreviation shall be used on every page of a CUI Specified document. If more than one category applies, the category abbreviations will be in alphabetical order separated by a single slash.
NOTE:

- To understand the difference between CUI Basic and CUI Specified, please refer to ISOO’s CUI Registry and Marking Guide at [www.archives.gov/cui](http://www.archives.gov/cui)
- Executive Branch agencies can use either CUI or CONTROLLED for the banner marking with or without a footer. Therefore, information received from an outside agency with CONTROLLED as the banner marking is also CUI.
- The Banner Marking for CUI Basic may also include the category abbreviation if desired for clarification. For example, CUI//CRIT (there is no “SP-“since CRIT is a Basic Category).

**LDCS & Distribution Statement Markings/Warnings**

Limited Dissemination Control (LDC) Markings can prevent a document from being shared with certain parties or notify others only certain parties should view it. They should only be used to further an authorized, lawful government purpose or when required by CUI authorities.

For example, the LDC “NOFORN” prevents the information from being shared with non-US citizens and governments while “REL TO USA, (list)” allows sharing with specific foreign partners. The following lists the LDC markings authorized for CUI:

![CUI Limited Dissemination Controls Table](image-url)
**DL ONLY** is used when you have a specific organization or list of individuals authorized to receive the document and none of the other LDCs apply. The list must be on or attached in the document, or a link to list annotated on the document.

*Download the CUI Limited Dissemination Controls Job Aid at the DOD CUI Program website [www.dodcui.mil](http://www.dodcui.mil).*

It is recommended to use the “DL” option and identify specifically who will be authorized access to the CUI. The first step is to add the letters “DL” after the LDC followed by “See next Page” or “See Last Page” where a listing of with whom it can be shared is found. If this is chosen, then a separate page is to be added either immediately after the first page or as the last page of the document. Using this option is valuable when having to share CUI with non-Executive Branch members, such as specific congressional entities, GAO, State, Local, Tribal, etc.

a) The format for the LDC when applying the DL is as follows: LDC: DL (See Next Page) – or – LDC: DL (See Last Page).

b) The format for the page is simply a title of “DISSEMINATION LIST” followed by a listing of the authorized shared groups.

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**Dissemination List General Marking Requirements**

<table>
<thead>
<tr>
<th>DISSEMINATION LIST:</th>
</tr>
</thead>
<tbody>
<tr>
<td>Origination Organization HQs</td>
</tr>
<tr>
<td>Origination Organization Office</td>
</tr>
<tr>
<td>Individual or Group 1</td>
</tr>
<tr>
<td>Individual or Group 2</td>
</tr>
<tr>
<td>Individual or Group 3</td>
</tr>
</tbody>
</table>

No further dissemination authorized unless prior approval is given by the document originator or authorized holder.

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The second option is to simply add the single organization. Here are some examples:

1. **LDC: DL (Your Agency Only)** this denotes the information is only authorized to be shared with all members of your agency.

2. **LDC: DL (Your Agency LEO Only)** this means that information is only authorized to be shared with law enforcement officers of your agency.

3. **LDC: DL (Your Agency FED Only)** this means the information is only authorized to be shared with civilian and military members of your agency.

4. **LDC: DL (Your Agency WHS Only)** this means the information is only authorized to be shared with members of your agency and WHS only.

5. **LDC: DL (Your Agency USD (I&S) ISOO Only).** This means the information is only authorized to be shared with your Agency USD (I&S) ISOO only.
DOD exceptions to use of NOFORN: DOD allows the following types of non-intelligence information (classified and unclassified) to be marked as NOFORN:

a. Naval Nuclear Propulsion Information (NNPI)
b. Unclassified Controlled Nuclear Information (UCNI)
c. National Disclosure Policy (NDP-1)
d. Cover and cover support information
e. Controlled unclassified intelligence information
f. Can be applied to unclassified information properly categorized as CUI having a licensing or export control requirement

The other method required for sharing CTI, export controlled CUI, and scientific, technical, and engineering information is the application of Distribution Statements (DISTRO) as codified in the DoDI 5230.24 and several DFARS clauses. For these types of documents, the Export Control Warning must be applied.

### Distribution Statements

<table>
<thead>
<tr>
<th>Distribution Statement A</th>
<th>Approved for public release. Distribution is unlimited.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Distribution Statement B</td>
<td>Distribution authorized to U.S. Government agencies only [fill in reason and date of determination]. Other requests for this document shall be referred to [insert controlling DOD office].</td>
</tr>
<tr>
<td>Distribution Statement C</td>
<td>Distribution authorized to U.S. Government agencies and their contractors [fill in reason and date of determination]. Other requests for this document shall be referred to [insert controlling DOD office].</td>
</tr>
<tr>
<td>Distribution Statement D</td>
<td>Distribution authorized to Department of Defense and U.S. DOD contractors only [insert reason and date of determination]. Other requests for this document shall be referred to [insert controlling DOD office].</td>
</tr>
<tr>
<td>Distribution Statement E</td>
<td>Distribution authorized to DOD Components only [fill in reason and date of determination]. Other requests shall be referred to [insert controlling DOD office].</td>
</tr>
<tr>
<td>Distribution Statement F</td>
<td>Further dissemination only as directed by [insert controlling DOD office and date of determination] or higher DOD authority.</td>
</tr>
</tbody>
</table>

### Portion Marking

Portion marking of CUI is *optional* in a fully unclassified document, but is permitted to facilitate information sharing and proper handling of the CUI. For information on co-mingling CUI with classified material, see the DOD CUI Marking Job Aid found on the DOD CUI Program Website, [www.dodcui.mil](http://www.dodcui.mil).

If portion markings are applied, then all portions must be marked just as done with classified documents. Portions include subjects, titles, paragraphs and sub-paragraphs, bullet points and sub-bullet points, headings, pictures, graphs, charts, maps, reference list, etc. Do not apply portion marks to the CUI DI. When CUI portion marking is used, these rules must be followed:

- CUI portion markings are placed at the beginning of the paragraph to which they apply and must be used throughout the entire document.
- CUI portion markings are contained within parentheses and may include these elements:
• When CUI portion markings are used and a portion does not contain CUI, a “U” is placed in parentheses to indicate the portion contains uncontrolled unclassified information. If the CUI paragraphs are removed, the document will be decontrolled and no longer treated as CUI. It still must be reviewed before being publicly released.

• The document’s banner/footer markings must be shown on each page even if portion marking is used – if not all pages contain CUI, they can be marked as “UNCLASSIFIED”.

• As a best practice, keep the CUI and uncontrolled information in separate portions to the greatest extent possible to allow for maximum information sharing.

• As a best practice, there is the option to add a line at the bottom of the document to state when certain pages or attachments are removed, the document is no longer CUI.

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**Best Practices Administrative Supplemental Marking**

• Extra administrative markings such as Draft or Pre-decisional may be used in documents containing CUI to inform recipients of the non-final status of the documents. However, these words can appear as part of the CUI banner either above or below the CUI banner/footer markings. Another best practice is to have them shown as a watermark behind the text of the document. If that is not possible, they may be shown elsewhere in the document as long as they are separate from the CUI banner/footer markings.

• Certain authorities may require other markings, information, warning, etc. These markings will not be part of the banner/footer markings, but must be included elsewhere on the page to comply with the governing authority. A best practice is to place them after the “SUBJECT LINE” for memorandums to alert the reader of particular limitations to access or sharing the document or material.
CUI Coversheet & Labels

**COVERSHEETS**

A CUI coversheet may be used instead of markings when it is deemed impractical to individually mark each page due to its quantity, nature, or when a limited CUI marking waiver has been granted. Be sure to include the CUI DI on the coversheet any or requirements called for by underlying, related laws, regulations, or government wide policies.

The CI coversheet (Standard Form 901) is also available at [www.archives.gov/cui/additional-tools](http://www.archives.gov/cui/additional-tools) or through GSA's Forms Library.

**LABELS**

If authorized, electronic media such as USB sticks, hard drives, and CDs must be marked to alert holders to the presence of CUI stored on the device. Due to space limitations, it may not be possible to include CUI Category or LDC Markings. At a minimum, mark media with the **CUI**.

Labels are available for purchase if desired:

- **SF 902** (approximate size 2.125 inches X 1.25 inches) is appropriate for hard drives and is available for purchase from GSA advantage. NSN 7540-01-69-3318.
- **SF 903** (approximate size 2.125 inches X .625 inches) is appropriate for USB drives and is available for purchase from GSA advantage. NSN: 7540-02-679-3319.
- See CUI Notice 2019-01 for additional information.
CUI Decontrol

CUI is decontrolled when it no longer requires particular safeguarding and handling measures or the related law, regulation, or government wide policy changes. As a Best Practice when any CUI marked document or material is decontrolled, the authorized holder or originator will line through the “CUI” in the banner/footer.

The word “DECONTROLLED” will be added in the banner/footer either above or below the lined through CUI. The CUI DI will be lined through and the individual approved to decontrol it will sign and date it on the line. A similar marking scheme would be applicable for documents and materials contain Distribution Statements: Line through the distribution alphabetic letter “C” and change it to an “A” signifying it has been decontrolled and is public releasable.

- If page and portion markings are used on the document, they will also be cancelled and, where practical, portion marks replaced with “(U)”. Lining through the CUI markings is sufficient to meet this requirement. Excessive burden.
- When a CUI document or other material is identified as decontrolled, it is then considered to be unclassified uncontrolled information and marked with as “UNCLASSIFIED” OR “(U)”. It is still not releasable to the public until a pre-publication review iaw DoDI 5230.09.
- Personnel must clearly indicate CUI is no longer controlled when it is restated, re-used, paraphrased, or released to the public.

IT Systems

Viewers must be made aware of the presence of CUI using a method readily apparent. For IT systems containing CUI, the following standard text for splash screens has been established for DOD systems. IT Systems may have user access agreements and/or banners on each screen IAW DOD CIO information systems policies.
Emailing CUI

When practical, CUI information may be transmitted electronically (e.g., data, website, or e-mail), via approved secure communications systems or systems utilizing other protective measures such as Public Key Infrastructure or transport layer security (e.g., https).

- If the body of the email may contain CUI & it must be encrypted.
- If the CUI is in the attachment(s), it must be identified & encrypted.
- The applicable CUI marking must be included at the top & bottom of each email.
- The email must also have the CUI Designation Indicator after the sender's signature block.
- The name of the attached file may contain a CUI indicator.

Facsimile

CUI transmission via facsimile machine is permitted; however, the sender is responsible for;

Determining whether appropriate protection will be available at the receiving location before transmission (e.g., facsimile machine attended by a person authorized to receive CUI; facsimile machine located in a controlled government environment).

Shipping and Mailing

- CUI information and material may be transmitted via first class mail, parcel post, or, bulk shipments.
- Address packages containing CUI for deliver ONLY to a specific recipient and require a receipt signature.
- DO NOT put CUI markings on the outside of an envelope or package scheduled for mailing/shipping.
- Always use in-transit automated tracking and accountability options to know where a package is at any time, and when it is received.
- Be sure the internal items have the proper CUI markings on them.
CUI Transmittal Documents

- When a transmittal document accompanies CUI, the transmittal document must indicate CUI is attached or enclosed.
- The transmittal document must also include, conspicuously on its face, the following or similar instructions, as appropriate:
  - “When enclosure is removed, the document is Uncontrolled Unclassified Information”, or
  - “When the enclosure is attached, this document is CUI (include CUI category markings as applicable); upon removal, this document is uncontrolled unclassified information.”
  - A CUI Coversheet may also be included immediately after the transmittal document.
Comingled CNSI/CUI

Documents containing both CNSI and CUI will be marked with the highest level of classification in the both the banner and footer. Portion marking is required and will be marked in a similar manner – see portion marking section of this document. However, to the greatest extent possible, CNSI and CUI should not be comingled within a single paragraph or portion. The CUI should be a separate portion from the CNSI, if possible. If it is merged in the same paragraph, it will be marked with the appropriate CNSI.

The CUI DI must be aligned with the classification authority block (on the lower left side of the document) on the lower right hand side.

CUI Warning Box for Comingled

This content is classified at the [insert highest classification level of the source data] level and may contain elements of controlled unclassified information (CUI), unclassified, or information classified at a lower level than the overall classification displayed. This content shall not be used as a source of derivative classification; refer instead to [cite specific reference, where possible, or state “the applicable classification guide(s)”]. It must be reviewed for both Classified National Security Information (CNSI) and CUI in accordance with DoDI 5230.09 prior to public release.
PPTs & Spreadsheets

See the DOD CUI Marking Job Aid found in the DOD CUI Program www.dodcui.mil or more details and example.

To alert viewers the presentation contains CUI:

- Include the CUI DI Block on the first slide.
- Apply the CUI banner/footer markings to the top & bottom of each slide.
- For side not containing CUI, there is an option to mark them as unclassified.
- Include in the filename the document contains CUI if sending it out.

When a spreadsheet contains CUI it should provide warnings to potential viewers. Some options include:

- Use the CUI banner/footer markings.
- Use CUI DI Block to show the required information about the document.
- Include in the filename it contains CUI.

Policy & Forms with CUI

All new policies and forms containing CUI must be marked IAW DoDI 5200.48. As policy and forms are eligible or requiring updating, all legacy markings (For Official Use Only, FOUO; U//FOUO; etc.) must be removed. The items must be reviewed to determine if they meet the threshold for qualifying as CUI. If so, they need to be revised to include the new CUI marking requirements.

- Forms containing CUI when filled in must be marked accordingly.
- If space on the form is limited, cover sheets could be used for this purpose.
- Include a statement indicating the form is CUI when filled in.
Waivers – Legacy

Contact your Component Senior Agency Official for the Information Security Program (CSAO) in coordination with CUI Program Manager, in reference to waivers.

Reasons a waiver may be needed include:

- **Excessive burden.** When it is impractical to individually mark CUI due to quantity or nature of the information (e.g., forms, blueprints, books, etc.), if a waiver for excessive burden may be granted. Information still needs to be protected according to CUI requirements and a cover sheet used to notify viewers of the presence of CUI.

- **Exigent circumstances.** Exigent circumstances exist when following proper procedures would cause an unacceptable delay due to the urgency of the situation. Information still needs to be protected according to CUI requirements.

The waiver request must explain which type of CUI is covered by the waiver, what safeguarding provisions or requirements are being waived for that CUI, and the alternate or compensatory protection methods that will be employed instead to protect that CUI. This information will be included in Components Annual Report to the Executive Agent.

Handling & Storage

To minimize the risk of access by unauthorized personnel, steps will be taken such as;

**During working hours**

Not reading, discussing, or leaving CUI information unattended where unauthorized personnel are present.

**After working hours**

- CUI Information will be stored in unlocked containers, desks, or cabinets if the government or government-contract building provides security for continuous monitoring of access.
- If building security is not provided, the information will be stored in locked desks, file cabinets, bookcases, locked rooms, or similarly secured areas.
- The concept of a controlled environment means there is sufficient internal security measures in place to prevent or detect unauthorized access to CUI For DoD, an open storage environment meets these requirements.
- When transporting CUI outside the normal work space, there needs to be at least one layer of protection over the items(s) – This includes when taking it home or to an outside the building meeting.