

## Preparing for a Security Professional Education Development (SPeD) Certification Assessment

The Security Fundamentals Professional Certification (SFPC) assessment is training agnostic, meaning you do not have to complete any prescribed training or courses before taking the certification assessment. However, preparing for the assessment by gaining additional training, education, or experience in the topic areas may prove beneficial.

Competency Preparatory Tools (CPTs) help you prepare for your SPeD certification assessment. The SFPC CPTs consists of a series of open-ended questions in each of the five security areas to assist you in increasing your proficiency.

Note: Specific CPT questions are not found on the assessment.

To view available CPTs for each SPeD certification assessment, please visit <https://www.cdse.edu/certification/prepare.html>.

## CDSE-offered Training

You are invited to familiarize yourself with courses addressing the topics noted in the SFPC Areas of Expertise. The Center for Development of Security Excellence (CDSE) provides diverse security courses and products to Department of Defense (DoD) personnel, DoD contractors, employees of other federal agencies, and selected foreign governments. Training is presented through a variety of learning platforms and is streamlined to meet performance requirements.

To view available CDSE-offered training, please visit <https://www.cdse.edu/catalog/index.html>.

## CDSE-offered Education

CDSE has established a program of advanced and graduate-level courses designed specifically to broaden DoD security specialists' knowledge and understanding of the security profession and prepare them for leadership positions and responsibilities. All of the courses are tuition free and are offered in a virtual instructor-led environment.

To view available CDSE-offered training, please visit <https://www.cdse.edu/education/index.html>.

## SFPC Area of Expertise

The SFPC assessment measures your breadth of knowledge. Although your current work may primarily be in one of the core security disciplines (i.e., information, personnel, physical, industrial, or general security), the results of the practice analysis performed by DoD demonstrates the importance of having awareness and a fundamental body of knowledge across the core security disciplines and the ability to apply foundational security concepts, principles, and practices. The SFPC is not only valuable to you as your career advances, it also strengthens the confidence the DoD has in your general knowledge in security.

The Areas of Expertise specified in the following charts were identified during the practice analysis as critical domains that should be addressed by the SFPC assessment. The weights indicated were derived from importance ratings provided by subject matter experts (SMEs) during the assessment development process.

Area of Expertise	
<b>Information Security</b> <span style="float: right;"><b>Exam Weight: 28%</b></span>	
Information Security Program	Classification Markings
Information Protection Principles	Marking Procedures
Classification Concepts	Policies and Procedures for Handling Special Types of Information
Classification Duration	Downgrading and Upgrading Classified Information
Derivative Classification Concepts	Safeguarding
Special Classification Considerations	Storage, Disposition, and Destruction
Declassification Concepts	Transmission and Transportation
Controlled Unclassified Information	Security Incidents
Release of Classified Material to Foreign Persons	Cyber and Information Security Concepts
<b>Personnel Security</b> <span style="float: right;"><b>Exam Weight: 25%</b></span>	
Personnel Security Concepts and Principles	Personnel Security Investigations
Position Sensitivity Designations	Personnel Security Investigative Requirements
Special Personnel Security Clearance Requirements	Adjudication
Unfavorable Administrative Actions	Safeguarding Personnel Records



Area of Expertise	
<b>Physical Security</b> <span style="float: right;"><b>Exam Weight: 12%</b></span>	
Physical Security Concepts	Physical Security Concepts for Storage of Classified Information
Facility Access Control	Site Design Strategies
Protective Barriers	Site Lighting
Key, Combinations, and Lock Control	Security System Devices
Antiterrorism (AT) Concepts and Principles	Law Enforcement (LE) Concepts and Principles
Search and Seizure	
<b>Industrial Security</b> <span style="float: right;"><b>Exam Weight: 13%</b></span>	
Contracts and Contract Administration	Industrial Security Concepts
Personnel and Facility Security Clearance Under the National Industrial Security Program (NISP)	Visits and Meetings
<b>General Security</b> <span style="float: right;"><b>Exam Weight: 22%</b></span>	
Counterintelligence (CI)	Inspections and Assessments
Operations Security (OPSEC) Concepts	Protected Information Categories
OPSEC Threat Analysis, Indicators, and Measures	Research and Technology Protection Concepts
Insider Threat Concepts and Principles	Special Access Program (SAP) Concepts
Risk Management Framework (RMF)	Basic Security Forms
Information Technology (IT)/Information Security (IS) Security Functionality and Controls	Security Briefings

## Policy/Reference Finder

**The resources below can be used to assist you in locating current versions of policies used within the CPTs.**

**Note: Unclassified publications that contain tactics, techniques, procedures, etc., may require a Common Access Card (CAC) to access.**

- Security Executive Agent Directives are available via the National Counterintelligence and Security Center: <https://www.dni.gov/index.php/ncsc-how-we-work/ncsc-security-executive-agent/ncsc-policy>
- DoD Issuances are listed on the Washington Headquarters Services Executive Services Directorate website:
  - DoD directives: <https://www.esd.whs.mil/Directives/issuances/dodd/>
  - DoD instructions: <https://www.esd.whs.mil/Directives/issuances/dodi/>
  - DoD manuals/publications: <https://www.esd.whs.mil/Directives/issuances/dodm/>
  - Directive type-memorandums: <https://www.esd.whs.mil/DD/DoD-Issuances/DTM/>
- All Joint Publications JPs: <https://www.jcs.mil/Doctrine/Joint-Doctrine-Pubs/>
  - JPs that require CAC access are available via the Joint Electronic Library Plus (JEL+): <https://jdeis.js.mil/my.policy>

**INFORMATION SECURITY CLUSTER**

**Area of Expertise: Information Security Fundamentals**

Concepts/Topics		Question	Answer	Reference
Information Security Program	What is the structure and policy guidance for the DoD Information Security Program?	What are the names of the policies that provide guidance for the DoD Information Security Program?		DoDM 5200.02, Volume 1, February 24, 2012
Information Security Program		What are the purpose, scope, and goals of the DoD Information Security Program?		DoDM 5200.01, Volume 1, February 24, 2012
Information Security Program		What policies are associated with the classification, declassification, and reclassification of information?		DoDM 5200.01, Volume 1, February 24, 2012
Information Security Program		What is the retention policy for classified and controlled unclassified information (CUI)?		DoDM 5200.01, Volume 1, February 24, 2012

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Information Security Fundamentals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Information Protection Principles	What are the key concepts, terms, and guidance critical to the assured sharing of classified information with an authorize person?	How do the terms “need-to-know,” “access,” and “eligibility” relate to protecting classified information?		DoDM 5200.01, Volume 1, February 24, 2012
Information Protection Principles		What are the different levels/types of classified information?		DoDM 5200.01, Volume 1, February 24, 2012
Information Protection Principles		How do you recognize the access requirements for different categories of classified information? (e.g., Confidential, Secret, Top Secret, Sensitive Compartmented Information (SCI), Special Access Program (SAP), NATO)		DoDM 5200.01, Volume 1, February 24, 2012
Controlled Unclassified Information (CUI)	What are the key concepts and principles associated with protecting CUI?	What are the six categories of CUI?		DoDM 5200.01, Volume 4, February 24, 2012
Controlled Unclassified Information (CUI)		Describe access, distribution, and dissemination controls associated with CUI.		DoDM 5200.01, Volume 4, February 24, 2012
Controlled Unclassified Information (CUI)		How do you protect CUI?		DoDM 5200.01, Volume 4, February 24, 2012
Release of Classified Material to Foreign Persons	What are the foreign disclosure concepts and principles?	What authorities govern foreign disclosure of classified military information?		DoDD 5230.11, June 16, 1992

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Information Security Fundamentals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Security Incidents	What are the guidance, terminology, and individual reporting requirements during and following an incident of potential or actual compromise?	What is the definition of “unauthorized disclosure?”		DoDM 5200.01, Volume 3, March 19, 2013
Security Incidents		What are the definitions of “potential and actual compromise?”		DoDM 5200.01, Volume 3, March 19, 2013
Security Incidents		What are the differences between a security infraction and a security violation? What are the similarities?		DoDM 5200.01, Volume 3, March 19, 2013
Security Incidents		How are security incident inquiries similar to an investigation? How are they different?		DoDM 5200.01, Volume 3, March 19, 2013
Security Incidents		How do you coordinate security incidents with the appropriate Criminal Investigative Organization or Defense Counterintelligence Component?		DoDM 5200.01, Volume 3, March 19, 2013
Security Incidents		What are the requirements for reporting a potential or actual compromise?		DoDM 5200.01, Volume 3, March 19, 2013
Security Incidents		What is the process for handling incidents of potential or actual compromise (including Original Classification Authority Actions and Damage Assessment)?		DoDM 5200.01, Volume 3, March 19, 2013

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Classification and Declassification Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Classification Concepts	What are the policy, terms, and guidance to originally classify information?	What is original classification? Who has the authority to originally classify information?		DoDM 5200.01, Volume 1, February 24, 2012
Classification Concepts		What are the steps of the original classification process?		DoDM 5200.01, Volume 1, February 24, 2012
Classification Concepts		What is the role of the Original Classification Authority (OCA) in changing classification level?		DoDM 5200.01, Volume 1, February 24, 2012
Classification Concepts		What information is found within a Security Classification Guide (SCG)?		DoDM 5200.01, Volume 1, February 24, 2012
Classification Concepts		What is tentative classification?		DoDM 5200.01, Volume 1, February 24, 2012



**INFORMATION SECURITY CLUSTER**

**Area of Expertise: Classification and Declassification Concepts**

Concepts/Topics		Question	Answer	Reference
Classification Duration	What are the authorized process and systems used to determine the duration of classified materials?	What are the classification duration options for originally classified information?		DoDM 5200.01, Volume 1, February 24, 2012
Classification Duration		What is the purpose of declassification instructions?		DoDM 5200.01, Volume 1, February 24, 2012
Classification Duration		What principles and procedures are used when challenging a classification decision?		DoDM 5200.01, Volume 1, February 24, 2012
Classification Duration		What principles and procedures are used when extending classification duration?		DoDM 5200.01, Volume 1, February 24, 2012

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Classification and Declassification Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Derivative Classification Concepts	What are the policies, terms, and guidance used to derivatively classify information?	What is derivative classification?		DoDM 5200.01, Volume 1, February 24, 2012
Derivative Classification Concepts		What are the steps in the derivative classification process?		DoDM 5200.01, Volume 1, February 24, 2012
Derivative Classification Concepts		Which authorized sources of security classification guidance can be used in the derivative classification process?		DoDM 5200.01, Volume 1, February 24, 2012
Derivative Classification Concepts		What are the responsibilities of derivative classifiers in protecting classified information?		DoDM 5200.01, Volume 1, February 24, 2012

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Classification and Declassification Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Special Classification Considerations	What are the concepts and principles associated with the application of special classification considerations?	Which classification considerations are associated with the concept of compilation?		DoDM 5200.01, Volume 1, February 24, 2012
Special Classification Considerations		Which classification considerations are associated with acquisition information?		DoDM 5200.01, Volume 1, February 24, 2012
Special Classification Considerations		Which classification considerations are associated with information released to the public?		DoDM 5200.01, Volume 1, February 24, 2012
Special Classification Considerations		Which classification considerations are associated with information released through the Freedom of Information Act (FOIA)?		DoDM 5200.01, Volume 1, February 24, 2012
Special Classification Considerations		Which classification considerations are associated with non-government research and development information?		DoDM 5200.01, Volume 1, February 24, 2012

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Classification and Declassification Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Declassification Concepts	What are the concepts and principles related to the declassification of information?	What are the different types of declassification processes and systems?		DoDM 5200.01, Volume 2, March 19, 2013
Declassification Concepts		Who are the authorities for declassifying classified information?		DoDM 5200.01, Volume 1, February 24, 2012
Declassification Concepts		What information is found within a Security Declassification Guide?		DoDM 5200.01, Volume 1, February 24, 2012
Downgrading and Upgrading Classified Information	What are the concepts and principles that relate to reclassification?	What are the processes associated with downgrading or upgrading classified information?		DoDM 5200.01, Volume 2, March 19, 2013

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Classification Management</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Classification Markings	What are the concepts and principles associated with classification markings?	How do you identify authorized security classifications, controls, and dissemination markings?		DoDM 5200.01, Volume 2, March 19, 2013
Classification Markings		What is a Controlled Access Program Coordination Office (CAPCO) classification? What are control markings?		DoDM 5200.01, Volume 2, March 19, 2013
Classification Markings		What are trigraphs? What are tetragraphs?		DoDM 5200.01, Volume 2, March 19, 2013
Classification Markings		What are the equivalencies between authorized security classification control, dissemination markings, and Foreign Security Classifications?		DoDM 5200.01, Volume 2, March 19, 2013

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Classification Management</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Policies and Procedures for Handling Special Types of Information	What are the handling requirements for special types of information?	What are the requirements for protecting Restricted Data (RD) and Formerly Restricted Data (FRD)?		DoDM 5200.01, Volume 1, February 24, 2012
Policies and Procedures for Handling Special Types of Information		What are the requirements for protecting SCI?		DoDM 5200.01, Volume 1, February 24, 2012
Policies and Procedures for Handling Special Types of Information		What are the requirements for protecting Communications Security (COMSEC) information?		DoDM 5200.01, Volume 1, February 24, 2012
Policies and Procedures for Handling Special Types of Information		What are the requirements for protecting SAP information?		DoDM 5200.01, Volume 1, February 24, 2012
Policies and Procedures for Handling Special Types of Information		What are the requirements for protecting foreign government information (FGI) and NATO information?		DoDM 5200.01, Volume 1, February 24, 2012
Policies and Procedures for Handling Special Types of Information		What are the requirements for protecting Nuclear Command and Control-Extremely Sensitive Information (NC2-ESI)?		DoDM 5200.01, Volume 1, February 24, 2012
Policies and Procedures for Handling Special Types of Information		What are the requirements for protecting information under the Patent Secrecy Act of 1952?		DoDM 5200.01, Volume 1, February 24, 2012
Policies and Procedures for Handling Special Types of Information				

**INFORMATION SECURITY CLUSTER**

**Area of Expertise: Classification Management**

Concepts/Topics		Question	Answer	Reference
Safeguarding Classified Materials	What are the measures and procedures for safeguarding classified materials?	What are the control measures (e.g., technical, physical, personnel, and administrative) for safeguarding classified information against unauthorized access?		DoDM 5200.01, Volume 3, March 19, 2013
Safeguarding Classified Materials		What are the custodial responsibilities of each individual to safeguard classified materials?		DoDM 5200.01, Volume 3, March 19, 2013
Safeguarding Classified Materials		What are the procedures for safeguarding classified information and materials within a classified workplace?		DoDM 5200.01, Volume 3, March 19, 2013, page 19-20
Safeguarding Classified Materials		Why are Alternative Compensatory Control Measures (ACCM) used?		DoDM 5200.01, Volume 3, March 19, 2013
Safeguarding Classified Materials		What are the access requirements for individuals outside the executive branch?		DoDM 5200.01, Volume 3, March 19, 2013

**INFORMATION SECURITY CLUSTER**

**Area of Expertise: Classification Management**

Concepts/Topics		Question	Answer	Reference
Storage, Disposition, and Destruction	What are the requirements and methods for storing, disposing, and destroying classified materials?	What are the requirements for storing (including open storage) classified materials?		DoDM 5200.01, Volume 3, March 19, 2013
Storage, Disposition, and Destruction		What are the authorized methods for the disposal and destruction of classified documents/materials (including computer media)?		DoDM 5200.01, Volume 3, March 19, 2013
Storage, Disposition, and Destruction		What destruction equipment is approved to dispose of and destroy classified materials?		DoDM 5200.01, Volume 3, March 19, 2013



**INFORMATION SECURITY CLUSTER**

**Area of Expertise: Classification Management**

Concepts/Topics		Question	Answer	Reference
Transmission and Transportation	What are the authorize methods for transmitting and transporting classified information?	What are the authorized methods for the transmission/transportation of different levels of classified information/material?		DoDM 5200.01, Volume 3, March 19, 2013
Transmission and Transportation		What are the purpose and basic concepts involved in properly preparing classified materials for transportation (including wrapping requirements)?		DoDM 5200.01, Volume 3, March 19, 2013
Transmission and Transportation		What are the purpose and basic concepts involved in the use of secure communications for transmitting classified information?		DoDM 5200.01, Volume 3, March 19, 2013
Transmission and Transportation		What are the requirements for escorting or hand-carrying classified materials?		DoDM 5200.01, Volume 3, March 19, 2013
Transmission and Transportation		What are the requirements governing the transfer of classified information or material to Foreign Governments?		DoDM 5200.01, Volume 3, March 19, 2013

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Classification Management</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Cyber and Information Security Concepts	What are the fundamental cyber concepts related to the protection of classified and controlled unclassified information?	What are the attributes of confidentiality, integrity, availability, authentication, and non-repudiation as they relate to information security?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		What is the impact of cybersecurity lapses on confidentiality, integrity, availability, authentication, and non-repudiation?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		What is the concept of system categorization?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		What is the role of the security professional during system categorization?		DoDI 8510.01, May 24, 2016
Cyber and Information Security Concepts		What is the concept of a data spill?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		What is the role of the security professional in addressing data spills?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		What are the minimum physical and administrative security requirements for non-traditional work environments?		DoDM 5200.01, Volume 3, March 19, 2013

<b>INFORMATION SECURITY CLUSTER</b>				
<b>Area of Expertise: Classification Management</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Cyber and Information Security Concepts	What are the fundamental cyber concepts related to the protection of classified and controlled unclassified information?	What is the role of the security professional in managing risks associated with new technology and equipment?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		What are the procedures governing the proper use of social networking services?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		What is the role of the security professional in ensuring the proper use of social networking services?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		What is the role of the security professional in addressing compilation and data integration/aggregation considerations?		DoDM 5200.01, Volume 3, March 19, 2013
Cyber and Information Security Concepts		How would you recognize security provisions and requirements of national laws, regulations, and policies related to cybersecurity (i.e., E-Government Act of 2002 (PL 107-347), Federal Information Processing Standards Publication 200, DoDI 8510.01)?		DoDI 8530.01, March 7, 2016

<b>PERSONNEL SECURITY CLUSTER</b>				
<b>Area of Expertise: Personnel Security Fundamentals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Personnel Security Concepts and Principles	What are the foundational personnel security concepts and principles (including access requirements and standards)?	What are the elements of the Personnel Security Program (PSP)?		DoDM 5200.02, April 3, 2017
Personnel Security Concepts and Principles		How are the concepts of and standards underlying suitability and eligibility determinations alike? How are they different?		DoDM 5200.02, April 3, 2017
Personnel Security Concepts and Principles		What are the standards for access to classified information?		DoDM 5200.02, April 3, 2017
Personnel Security Concepts and Principles		What are the standards for assignment to sensitive duties?		DoDM 5200.02, April 3, 2017
Personnel Security Concepts and Principles		What are investigative requirements for sensitive positions?		DoDM 5200.02, April 3, 2017
Personnel Security Concepts and Principles		What are the investigative requirements for military appointments, enlistments, and inductions?		DoDM 5200.02, April 3, 2017

<b>PERSONNEL SECURITY CLUSTER</b>				
<b>Area of Expertise: Personnel Security Fundamentals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Position Sensitivity Designations	What are the basic concepts associated with position sensitivity designations?	What are the position sensitivity types and investigative requirements?		DoDM 5200.02, April 3, 2017; Federal Investigative Standards, December 14, 2012; Bond Amendment January 1, 2008; ICPG 704.2, October 2, 2008; E.O. 12968 August 7, 1995; DoDM 5205.07 Volume 2, November 24, 2015
Position Sensitivity Designations		How would you match sensitive position investigative requirements with investigation types (including exceptions to sensitive position investigative requirements)?		
Position Sensitivity Designations		What are the authorities, criteria, and procedures for designating sensitive positions?		
Safeguarding Personnel Records	What are the requirements for protecting information associated with personnel records?	Who are the individuals with authorized access to personnel security records?		DoD 5400.11-R, May 14, 2007; DoDM 5200.02, April 3, 2017
Safeguarding Personnel Records		What are the approved procedures for disposing and destroying personnel security records?		DoD 5400.11-R, May 14, 2007; DoDM 5200.02, April 3, 2017
Safeguarding Personnel Records		What is the role of the Privacy Act in safeguarding personnel security records?		DoDM 5200.02, April 3, 2017



<b>PERSONNEL SECURITY CLUSTER</b>				
<b>Area of Expertise: Personnel Security Fundamentals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Personnel Security Investigations	What are the investigation type, scope, process, and limitations?	What are the personnel security investigation types?		DoDM 5200.02, April 3, 2017
Personnel Security Investigations		What is the procedure for requesting a personnel security investigation (PSI)?		DoDM 5200.02, April 3, 2017
Personnel Security Investigations		Who are the authorities responsible for personnel security determinations?		DoDM 5200.02, April 3, 2017

<b>PERSONNEL SECURITY CLUSTER</b>				
<b>Area of Expertise: Personnel Security Fundamentals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Personnel Security Investigative Requirements	What are the requirements for personnel security clearance?	What are the citizenship requirements for eligibility for a personnel security clearance?		DoDM 5200.02, April 3, 2017
Personnel Security Investigative Requirements		What are the restrictions on issuing personnel security clearances?		DoDM 5200.02, April 3, 2017
Personnel Security Investigative Requirements		What are the requirements for a Authorization (LAA) and one-time access?		DoDM 5200.02, April 3, 2017
Personnel Security Investigative Requirements		What are the procedures for granting access to classified information for different types of personnel (e.g., non-U.S. citizens, persons outside the Executive Branch, retired flag/general officers, DoD component consultants)?		DoDM 5200.02, April 3, 2017

<b>PERSONNEL SECURITY CLUSTER</b>				
<b>Area of Expertise: Personnel Security Fundamentals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Special Personnel Security Clearance Requirements	What are the personnel security clearance requirements for special types of information/ assignments?	What are the eligibility standards for access to SCI?		DoDM 5200.01, Volume 1, February 24, 2012, page 28
Special Personnel Security Clearance Requirements		What are the requirements for access to a SAP?		DoDM 5200.01, Volume 1, February 24, 2012, page 28
Special Personnel Security Clearance Requirements		What are the requirements of the Nuclear Weapon Personnel Reliability Program?		Nuclear: DoDD 5210.41, January 22, 2015
Special Personnel Security Clearance Requirements		What are the requirements for access to NATO information?		DoDM 5200.01, Volume 1, February 24, 2012, page 28
<b>PERSONNEL SECURITY CLUSTER</b>				
<b>Area of Expertise: Adjudication</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Adjudication	What is the adjudication criteria and adjudication process?	What is the adjudication process?		DoDM 5200.02, April 3, 2017 Page 39-46
Adjudication		What are the adjudicative criteria?		Security Executive Agent Directive (SEAD) 4, National Security Adjudicative Guidelines, June 8, 2017



<b>PERSONNEL SECURITY CLUSTER</b>				
<b>Area of Expertise: Adjudication</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Unfavorable Administrative Actions	What are the personnel security concepts associated with unfavorable administrative actions?	What is the PSP concept of due process?		5200.2-R February 23, 1996, pages 76-77, DoDM 5200.02, April 3, 2017, pages 59-60
Unfavorable Administrative Actions		What is the purpose of a statement of reason (SOR)?		5200.2-R February 23, 1996, page 75, DoDM 5200.02, April 3, 2017, page 50
Unfavorable Administrative Actions		How are the concepts of PSP suspension, revocation, termination, and reinstatement alike? How are they different?		E.O. 12968 August 7, 1995; 5200.2-R February 23, 1996, pages 12, 70, 72, 93, DoDM 5200.02, April 3, 2017, page 56-58
Unfavorable Administrative Actions		What are the steps associated with making final unfavorable determinations?		DoDM 5200.02, April 3, 2017, page 48

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Physical Security Concepts	What are the general physical security concepts?	What are the purpose (prevention and protection) and system performance goals (detection, assessment, communication, delay, response) of a Physical Security Program?		DoD 5200.08-R, May 27, 2009,
Physical Security Concepts		What are the mission areas associated with the Physical Security discipline?		DoD 5200.08-R, May 27, 2009,
Physical Security Concepts		How are the concepts of threat, vulnerability, and criticality alike? How are they different?		DoD 5200.08-R May 27, 2009, pages 7-9
Physical Security Concepts		How do you use the integrated protective system in the protection of people, information, equipment, facilities, activities, and operations.		DoD 5200.08-R, May 27, 2009
Physical Security Concepts		What are the elements of the Physical Security Threat Matrix, and how are they used?		DoD 5200.08-R, May 27, 2009
Physical Security Concepts		How do you use inspections and surveys in Physical Security programs?		DoD 5200.08-R, May 27, 2009

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Facility Access Control	What are the common methods used to control access to and within a facility?	What are the HSPD-12 requirements?		5200.08-R, May 27, 2009; DTM 09-012, November 20, 2015
Facility Access Control		What are the security requirements for different types of restricted areas?		DTM 09-012, November 20, 2015
Facility Access Control		What are the criteria for personnel access to restricted areas?		DTM 09-012, November 20, 2015, page 11-15
Facility Access Control		Who is the authority for establishing restricted areas?		DTM 09-012, November 20, 2015
Facility Access Control		What are common methods for controlling access to a facility (e.g., escorts, two-person rule, access control roster)?		DTM 09-012, November 20, 2015
Facility Access Control		How do facilities use identification systems and methods to control access to a facility?		DTM 09-012, November 20, 2015

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Facility Access Control	What are the common methods used to control access to and within a facility?	What are the the role of inspection and search procedures in controlling access to a facility?		DTM 09-012, November 20, 2015
Facility Access Control		What is the role of turnstile operations in controlling access to a facility?		DTM 09-012, November 20, 2015
Antiterrorism (AT) Concepts and Principles	What are the basic AT concepts and principles?	What are the elements, purpose, and function of an AT Program?		DoDI 2000.12, November 15; DoDI O-2000.16 v1, May 5, 2017
Antiterrorism (AT) Concepts and Principles		What is the role of Force Protection Senior Steering Group in an AT Program?		DoDI 2000.12, November 15, 2016
Antiterrorism (AT) Concepts and Principles		How woud you use a integrated system approach within context of an AT Program?		DoDI 2000.12, November 15, 2016
Antiterrorism (AT) Concepts and Principles		What are the defensive measures employed by an AT Program?		DoDI 2000.12, November 15, 2016
Antiterrorism (AT) Concepts and Principles		What is the purpose and function of random AT measures (RAM)?		DoDI O-2000.16 v1, May 5, 2017

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Antiterrorism (AT) Concepts and Principles	What are the basic AT concepts and principles?	What are the Force Protection Condition (FPCON) levels?		DoDI 2000.12, November 15, 2016; DoDI O- v2, May 8, 2017
Antiterrorism (AT) Concepts and Principles		How do you recognize security requirements, measures, and procedures for each FPCON level?		DoDI 2000.12, November 15, 2016; DoDI O- v2, May 8, 2017
Antiterrorism (AT) Concepts and Principles		How does an AT Program use FPCON measures?		DoDI 2000.12, November 15, 2016; DoDI O-2000.16 v2, May 8, 2017
Antiterrorism (AT) Concepts and Principles		What is the relationship between the use of RAM and FPCON levels?		DoDI O-2000.16 v1, May 5, 2017
Antiterrorism (AT) Concepts and Principles		What are the purpose and function of the Defense Terrorism Threat Warning System?		DoDI 2000.12, November 15, 2016
Antiterrorism (AT) Concepts and Principles		What are basic terrorism concepts?		DoD ATO Guide, December 2012

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Law Enforcement (LE) Concepts and Principles	What are the basic LE concepts and principles?	What are the general LE/Security Force operations, roles, and missions?		DoD 5200.08-R, May 27, 2009; DoDI 2000.12, November 15, 2016; DoDI O-2000.16 v1, May 5, 2017
Law Enforcement (LE) Concepts and Principles		What are the elements, purpose, and function of community-based policing?		DoD 5200.08-R, May 27, 2009; DoDI 2000.12, November 15, 2016; DoDI O-2000.16 v1, May 5, 2017
Law Enforcement (LE) Concepts and Principles		What are the elements, purpose, and function of intelligence-led policing?		DoD 5200.08-R, May 27, 2009; DoDI 2000.12, November 15, 2016; DoDI O-2000.16 v1, May 5, 2017
Law Enforcement (LE) Concepts and Principles		What are the LE authorities governing LE/Security Force operations, roles, and missions?		DoD 5200.08-R, May 27, 2009; DoDI 2000.12, November 15, 2016; DoDI O-2000.16 v1, May 5, 2017
Law Enforcement (LE) Concepts and Principles		What is the goal of the Crime Prevention Program?		DoD 5200.08-R, May 27, 2009; DoDI 2000.12, November 15, 2016; DoDI O-2000.16 v1, May 5, 2017

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Search and Seizure	What are the guidelines that govern the conduct of search and seizures?	Who are the authorities governing search and seizure?		DoDI O-2000.16 v1, May 5, 2017
Search and Seizure		What is the impact of improper search and seizure on LE goals?		DoDI O-2000.16 v1, May 5, 2017, Enclosure 3
Search and Seizure		What are the elements, purpose, and function of various search procedures?		DoDI O-2000.16 v1, May 5, 2017

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Standards</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Physical Security Standards for Storage of Classified Information	What are the physical security standards associated with the storage of classified information?	What are the different types of approved classified material storage areas?		DoDM 5200.01, Volume 3, March 19, 2013
Physical Security Standards for Storage of Classified Information		Who is the approval authority for security containers and vaults?		DoDM 5200.01, Volume 3, March 19, 2013
Physical Security Standards for Storage of Classified Information		What are the construction standards for vaults and secure rooms?		DoDM 5200.01, Volume 3, March 19, 2013
Physical Security Standards for Storage of Classified Information		What are the standards for intrusion detection systems?		DoDM 5200.01, Volume 3, March 19, 2013
Physical Security Standards for Storage of Classified Information		What are the standards for access control?		DoDM 5200.01, Volume 3, March 19, 2013
Physical Security Standards for Storage of Classified Information		What are the certification and accreditation requirements for secure rooms, containers, and vaults?		ICS 705, May 26, 2010; ICS 705-1, September 17, 2010



<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Countermeasures</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Site Design Strategies	How are site design strategies used to meet system performance goals of a Physical Security Program?	What is the purpose of AT standards?		UFC 4-010-01 October 1, 2013
Site Design Strategies		How are design strategies used to address aggressor tactics and to provide levels of protection?		UFC 4-010-01 October 1, 2013
Site Design Strategies		What are the common site-work protective measures?		UFC 4-010-01 October 1, 2013
Site Design Strategies		What are the purpose and function of perimeter barriers (e.g., active barriers, speed control, standoff distances)?		UFC 4-010-01 October 1, 2013
Site Design Strategies		What are the common uses of landforms in site design strategies?		DoD ATO Guide, December 2012
Site Design Strategies		How are walls, doors, windows, and roofs used as building protective measures?		UFC 4-010-01 October 1, 2013

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Countermeasures</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Protective Barriers	How are protective barriers used to meet the system performance goals of a Physical Security Program?	What is the purpose and function of common protective barriers?		DoD 5200.08-R, May 27, 2009
Protective Barriers		What is the purpose and function of common warning signs?		DoD 5200.08-R, May 27, 2009
Protective Barriers		What are the purpose and function of clear zones?		DoD 5200.08-R, May 27, 2009
Site Lighting	How is site lighting used to meet the system performance goals of a Physical Security Program?	What is the purpose and function of common site lighting categories?		DoD 5200.08-R, May 27, 2009
Key, Combination, and Lock Control	How are lock and key control systems used to meet the system performance goals of a Physical Security Program?	What are the purpose and function of common types of locking devices?		DoD 5200.08-R, May 27, 2009
Key, Combination, and Lock Control		What are the purpose and function of lock and key control system elements?		DoD 5200.08-R, May 27, 2009
Key, Combination, and Lock Control		What are the lock and key installation and maintenance requirements?		DoD 5200.08-R, May 27, 2009

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Countermeasures</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Security Systems Devices	How are security system devices used to meet system performance goals of a Physical Security Program?	What are the elements, purpose, and function of Intrusion Detection systems?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of voice communication links and equipment?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of closed-circuit television (CCTV) systems?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of alarm and annunciation systems?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of card access systems and biometrics?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of electronic entry control systems?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of boundary-penetration sensors?		DoD 5200.08-R, May 27, 2009

<b>PHYSICAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Physical Security Countermeasures</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Security Systems Devices	How are security system devices used to meet system performance goals of a Physical Security Program?	What are the elements, purpose, and function of motion and point sensors?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of duress-alarm devices.?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of weapon and explosive detectors and equipment (e.g., magnetometers, portable metal detectors, X-ray machines)?		DoD 5200.08-R, May 27, 2009
Security Systems Devices		What are the elements, purpose, and function of two-way radios?		DoD 5200.08-R, May 27, 2009

<b>INDUSTRIAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Industrial Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Contracts & Administration	Why must a security professional be able to discuss foundational contracts and contract administration concepts, and describe a security professional's role(s) in the contracting process?	What are the purpose and function of each step of the contracting process (e.g., acquisition planning, solicitation, preparation, source selection, contract administration)?		DoD 5220.22-M, May 18, 2016
Contracts & Administration		What are the roles and responsibilities of various entities (e.g., government contracting activity, user agency, contract officer, contracting office representative, contracting office technical representative, security professional) involved in the contracting process?		DoD 5220.22-M, May 18, 2016
Contracts & Administration		What are the elements, purpose and function of a statement of work?		DoD 5220.22-M, May 18, 2016
Contracts & Administration		What are the similarities and differences between classified and unclassified contracts?		DoD 5220.22-M, May 18, 2016
Contracts & Administration		What role does the DD-Form 254 play in a classified contract?		DoD 5220.22-R, December 4, 1985
Contracts & Administration		What are the purpose and function of each section of a DD-Form 254?		DoD 5220.22-R, December 4, 1985

<b>INDUSTRIAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Industrial Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Contracts & Administration	Why must a security professional be able to discuss foundational contracts and contract administration concepts, and describe a security professional's role(s) in the contracting process?	What are the similarities and differences between DD-Form 254 and DD-Form 441?		DoD 5220.22-R, December 4, 1985
Contracts & Administration		What role does the Security Requirements Clause play in a classified contract?		DoD 5220.22-M, May 18, 2016
Contracts & Administration		What role does the Security Classification Guide play in a classified contract?		DoD 5220.22-M, May 18, 2016
Industrial Security Concepts	What are the foundational Industrial Security concepts and principles?	What is the purpose of the National Industrial Security Program (NISP)?		DoD 5220.22-M, May 18, 2016; DoD 5220.22-R, December 4, 1985
Industrial Security Concepts		What are the policies, regulations, and guidance governing the NISP?		DoD 5220.22-M, May 18, 2016; DoD 5220.22-R, December 4, 1985
Industrial Security Concepts		What are the similarities and differences of roles and responsibilities involved in program implementation and contract administration in "carve-ins" versus "carve-outs"?		DoD 5220.22-R, December 4, 1985
Industrial Security Concepts		What are government-owned/contractor-operated (GOCO) facilities and contractor-owned/contractor-operated facilities?		DoD 5220.22-M, May 18, 2016

<b>INDUSTRIAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Industrial Security Concepts</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Personnel and Facility Security Clearance Under the National Industrial Security Program (NISP)	What are the NISP provisions regarding personnel and facility security clearance?	What is the relationship between personnel security clearance (PCL) and a facility security clearance (FCL) under NISP?		DoD 5220.22-M, May 18, 2016
Personnel and Facility Security Clearance Under the National Industrial Security Program (NISP)		What are the PCL criteria and requirements for contractors working on a classified contract?		DoD 5220.22-M, May 18, 2016
Personnel and Facility Security Clearance Under the National Industrial Security Program (NISP)		What is the relationship between Foreign Ownership, Control, and Influence (FOCI) and FCLs under NISP?		DoD 5220.22-M, May 18, 2016
Visits and Meetings	What are the procedures associated with the disclosure of classified information during meetings and visits?	Who are the authorities guiding disclosure of classified information during visits and meetings?		DoD 5220.22-M, May 18, 2016
Visits and Meetings		What are the procedures associated with the disclosure of classified information during visits and meetings?		DoD 5220.22-M, May 18, 2016

<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Threat, Vulnerability, and Risk Assessment/Management</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Counterintelligence (CI)	What are the fundamental CI concepts and types of threats?	What are the roles DoD CI organizations play in supporting the security mission and security programs (e.g., Foreign Visit Program, Foreign Travel Program, Insider Threat Program)?		DoDD 5240.02 March 17, 2015
Counterintelligence (CI)		What are the criteria and requirements governing the reporting of security events/incidents to DoD CI organizations?		DoDD 5240.06, May 30, 2013
Counterintelligence (CI)		What are the different types of threats (e.g., foreign intelligence and security services, terrorism, insider)?		DoDD 5240.06, May 30, 2013
Counterintelligence (CI)		What are CI indicators? List a few examples.		DoDI 5240.26 October 15, 2013



<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Threat, Vulnerability, and Risk Assessment/Management</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Operations Security (OPSEC) Concepts	What are the fundamental concepts and principles of OPSEC?	What are the purpose and function of OPSEC?		DoDM 5205.02-M, November 3, 2008
Operations Security (OPSEC) Concepts		What are the five steps of the OPSEC process?		DoDM 5205.02-M, November 3, 2008
Operations Security (OPSEC) Concepts		What are the purpose and function of each OPSEC process?		DoDM 5205.02-M, November 3, 2008
Operations Security (OPSEC) Concepts		What are the differences between OPSEC assessment and OPSEC survey? What are the similarities?		DoDM 5205.02-M, November 3, 2008
OPSEC Threat Analysis, Indicators, and Measures	What are OPSEC indicators and measures?	What are the five types of OPSEC indicators ?		JP-3-13.3, January 4, 2012
OPSEC Threat Analysis, Indicators, and Measures		What are the purpose and function of the different OPSEC measures?		JP-3-13.3, January 4, 2012

<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Threat, Vulnerability, and Risk Assessment/Management</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Insider Threat Concepts and Principles	What are the fundamental insider threat concepts and principles?	What are the similarities between the concepts of insider, insider threat, and CI insider threat? What are the differences?		DoDD 5205.16 September 30, 2014
Insider Threat Concepts and Principles		What is the purpose and function of each element of an Insider Threat Program?		DoDD 5205.16 September 30, 2014; USD(I) Memorandum, Reporting Information to the DITMAC, December 29, 2016
Insider Threat Concepts and Principles		What are the roles of the various entities (e.g., CI, security, information assurance, AT) in executing an insider threat?		DoDD 5205.16 September 30, 2014; DoD 5240.26, October 15, 2013; DoD 5220.22-M, May 18, 2016

**GENERAL SECURITY CLUSTER**

**Area of Expertise: Cyber for Security Professionals**

Concepts/Topics		Question	Answer	Reference
Risk Management Framework (RMF)	What are the concepts and principles of the RMF?	What are the purpose and function of the assessment and authorization process?		DoDM 5200.01, Volume 3, March 19, 2013, page 105-106
Risk Management Framework (RMF)		What is the role of the security professional in the assessment and authorization process?		DoDM 5200.01, Volume 3, March 19, 2013, page 105-106
Risk Management Framework (RMF)		What are the purpose and intent of the RMF?		DoDI 8510.01, May 24, 2016
Risk Management Framework (RMF)		What are the various forms of DoD information technology?		DoDI 8510.01, May 24, 2016
Risk Management Framework (RMF)		What are the procedures for defining protection requirements for various forms of DoD information technology?		DoDI 8510.01, May 24, 2016
Risk Management Framework (RMF)		What are the purpose and intent of each RMF governance tier?		DoDI 8510.01, May 24, 2016

<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Cyber for Security Professionals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Risk Management Framework (RMF)	What are the concepts and principles of the RMF?	What are the purpose and intent of cybersecurity reciprocity?		DoDI 8510.01, May 24, 2016
Risk Management Framework (RMF)		What are the roles and responsibilities of deploying and receiving organizations in promoting reciprocity and managing risks to DoD IT?		DoDI 8510.01, May 24, 2016
Risk Management Framework (RMF)		What are the purpose and intent of each RMF step?		DoDI 8510.01, May 24, 2016
Risk Management Framework (RMF)		What are the roles and responsibilities of security professionals during each RMF step?		DoDI 8510.01, May 24, 2016
Risk Management Framework (RMF)		What are cyber threat sources and threat events?		DoDI 8510.01, May 24, 2016; NIST SP 800-30 Revision 1, April 2013
Risk Management Framework (RMF)		What is threat shifting?		DoDI 8510.01, May 24, 2016; NIST SP 800-30 Revision 1, April 2013
Risk Management Framework (RMF)		What are the vulnerabilities and predisposing conditions that can affect the likelihood of a cyber threat event from occurring?		DoDI 8510.01, May 24, 2016; NIST SP 800-30 Revision 1, April 2013

<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Cyber for Security Professionals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls	What are a security professional's roles and responsibilities regarding the selection and implementation of the IT/IS security controls?	What are the concepts of security assurance, security capability, security functionality, and security controls?		NIST SP 800-53 Revision 4, April 2013
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls		What is the relationship between security control baselines and system categorization?		NIST SP 800-53 Revision 4, April 2013; DoDM 5200.01, Volumes 1-4; DoD 5200.08-R, May 27, 2009
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls		What are the purpose and intent of security control tailoring?		NIST SP 800-53 Revision 4, April 2013
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls		What are the purpose and intent of compensating security controls?		NIST SP 800-53 Revision 4, April 2013
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls		What are the purpose and intent of security control enhancement?		NIST SP 800-53 Revision 4, April 2013
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls		What are the eighteen security control families?		NIST SP 800-53 Revision 4, April 2013; DoDM 5200.01, Vol. 1-4
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls		What is the relationship between the security disciplines and each of the 18 security control families?		DoD 5200.08-R, May 2009

<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Cyber for Security Professionals</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls	What are the security professional's roles and responsibilities regarding the selection and implementation of the IT/IS security controls?	How are technical, administrative, and physical security controls applied to meet intent of the 18 security control families?		DoD 5200.08-R, May 2009
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls		What are the key cyber security principles critical to the protection of information and information networks?		DoDI 8530.01, March 7, 2016
Information Technology (IT)/ Information Security (IS) Security Functionality and Controls		What are the intent of cybersecurity activities critical to protecting DoD information networks?		DoDI 8530.01, March 7, 2016
Inspections and Assessments	What are the concepts associated with cybersecurity inspections and assessments?	What are the purpose and intent for a Command Cyber Readiness Inspection (CCRI)?		NIST SP 800-53 Revision 4, April 2013; NIST SP 800-53A Revision 4, December 2014
Inspections and Assessments		What are the roles and responsibilities for a security professional executing a CCRI?		NIST SP 800-53 Revision 4, April 2013; NIST SP 800-53A Revision 4, December 2014
Inspections and Assessments		What are the similarities between the roles and responsibilities of security professionals and cybersecurity professionals in executing a CCRI? What are the differences?		NIST SP 800-53 Revision 4, April 2013; NIST SP 800-53A Revision 4, December 2014
Inspections and Assessments		What are the purpose and intent of Mission Assurance Assessments MAAs?		DoDI 3020.39, March 2, 2015

**GENERAL SECURITY CLUSTER**

**Area of Expertise: Cyber for Security Professionals**

Concepts/Topics		Question	Answer	Reference
Inspections and Assessments	What are the concepts associated with cybersecurity inspections and assessments?	What are the roles and responsibilities of security professionals in the execution of the MAA?		DoDI 3020.39, March 2, 2015
Inspections and Assessments		What are the similarities between the roles and responsibilities of security professionals and cybersecurity professionals executing an MAA? What are the differences?		DoDI 3020.39, March 2, 2015

<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Program Security</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Protected Information Categories	What are the different protected information categories?	What information categories are specified in the Military Critical Technology List?		DoDI 3020.46, October 24, 2008
Protected Information Categories		What are the purpose and function of the Military Critical Technology List?		DoDI 3020.46, October 24, 2008
Protected Information Categories		What information categories are specified in the Critical Program Information List?		DoDI 5200.39, May 28, 2015
Protected Information Categories		What are the purpose and function of the Critical Program Information List?		DoDI 5200.39, May 28, 2015
Protected Information Categories		What information categories are specified in the Categories of Classified Military Information?		DoDD 5230.11, June 16, 1992
Protected Information Categories		What are the purpose and function of the Categories of Classified Military Information?		DoDD 5230.11, June 16, 1992



<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: Program Security</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Research and Technology Protection Concepts	What are the research and technology protection concepts and principles?	What are the purpose and function of a Program Protection Plan?		DoDI 5200.39, May 28, 2015
Research and Technology Protection Concepts		What are the purpose and function of a Technology Assessment and Control Plan?		Cancelled Policy 5200.39-R
Research and Technology Protection Concepts		What are the requirements for technology transfer, foreign disclosure, direct commercial sales, co-production, import, and export license, or other export authorization requirements?		DoDI 5535.8, May 14, 1999
Special Access Program (SAP) Concepts	What are SAP general concepts and principles?	What are the categories, types, and levels of SAPs?		DoDM 5205.07, July 1, 2010; DoDM 5205.07 Volume 1, June 18, 2015
Special Access Program (SAP) Concepts		What are the purpose and function of a SAP?		DoDM 5205.07, July 1, 2010; DoDM 5205.07 Volume 1, June 18, 2015



<b>GENERAL SECURITY CLUSTER</b>				
<b>Area of Expertise: General Security Tools and Methods</b>				
<b>Concepts/Topics</b>		<b>Question</b>	<b>Answer</b>	<b>Reference</b>
Basic Security Forms	What are the purpose and function of common DoD security forms?	What are the purpose and function of common DoD security forms?		DoD 5220.22-R, December 4, 1985, pages 213-214
Security Briefings	What are the common types of security briefings and what is the purpose of each?	What are the purpose, function, and scope of common security briefing types?		DoDM 5200.02, April 3, 2017; DoDM 5105.21-M, October 19, 2012; DoDM 5200.01, Volume 4, February 24, 2012
Security Briefings		What are the purpose, function, and scope of special training or briefings (e.g., travel, escort, hand-carry, or courier, special control or safeguarding measures, international programs, acquisition programs, FGI, OCA, declassification)?		DoDM 5200.01, Volume 4, February 24, 2012

## Helpful Tips

- The body of material covered on the SFPC assessment cannot be memorized in its entirety. Use memory techniques only to help recall key points.
- Focus on the application of accepted principles, practices, and theories, not memorizing facts, dates, and names.
- The SFPC assessment is based on DoD policy only, and does not incorporate additional Component or agency requirements.

