

Industrial Security Webinar Series

Learn @ Lunch

**Technology Control Plan  
under the NISPOM**

---

---

---

---

---

---

---

---

Learn @ Lunch

CDSE

**Navigating our Meeting Room**

Notes box for audio information and other announcements

To enlarge the slide, click on the Full Screen button. To get out of Full Screen view, select Full Screen again. You will need to be out of Full Screen view to respond to poll questions.

---

---

---

---

---

---

---

---

Learn @ Lunch

CDSE

**Example of a Poll Question**

**Poll 4**

Prepare Close

Have you ever used DCO before?

- I have never used it 0% (0)
- I have only listened in on a webinar or meeting 0% (0)
- I have used the chat or Q&A pods 0% (0)
- I have been a presenter 0% (0)
- I have hosted a DCO meeting 0% (0)

Broadcast Results

---

---

---


---

---


---


---

---

Learn @ Lunch 

### Navigating our Meeting Room

Q&A box for entering questions to the presenters 

File share box to download resources relevant to today's presentation 

---

---

---

---

---

---

---

---

Industrial Security Webinar Series 



### Technology Control Plan (TCP) under the NISPOM

---

---

---


---

---

---

---

---

Learn @ Lunch 

### Common Terms: NISPOM Definition

A Foreign National is any person who is not a citizen or national of the United States.

A U.S. Person "... any person who is a citizen or national of the United States."

6

---

---

---

---

---

---

---


---

Learn @ Lunch CDSE

---

**Common Terms: NISPOM Definition**

A security countermeasure is developed and implemented to reasonably preclude the possibility of inadvertent access by non-US citizens to information for which they are not authorized.



7

---

---

---

---

---

---

---

---

Learn @ Lunch CDSE

---

**Three Part Presentation:**

1. TCP → Foreign Ownership Control or Influence (FOCI)
2. TCP → Foreign national employees or foreign nationals assigned or visiting a cleared facility
3. TCP → What needs to be included

8

---

---

---

---

---

---

---

---

Learn @ Lunch CDSE

---

**Poll 1**

9

---

---

---

---

---

---

---

---


Learn @ Lunch CDSE

**FOCI: NISPOM 2-300**

A U.S. company is considered to be under FOCI when a foreign interest

- has the power,
- direct or indirect,
- whether or not exercised

to direct or decide matters affecting the management or operations of the U.S. company.



10

---

---

---

---

---

---

---

---

Learn @ Lunch CDSE

**FOCI Action Plans**

Voting Trust Agreement (VTA)  
 Proxy Agreement (PA)  
 Special Security Agreement (SSA)  
 Security Control Agreement (SCA)  
 Board Resolution (BR)

MAJORITY OWNERSHIP VT Proxy SSA	MINORITY OWNERSHIP SCA Board Resolution
--	---

---

---

---

---

---

---

---

---

Learn @ Lunch CDSE

**FOCI Action Plans**

Foreign Owner

\_\_\_\_\_ VTA

\_\_\_\_\_ PA

\_\_\_\_\_ SSA

\_\_\_\_\_ SCA

\_\_\_\_\_ BR

Cleared DoD Contractor

12

---

---

---

---

---

---

---

---

Learn @ Lunch CDSE

**Poll 2**

13

---

---

---

---

---

---

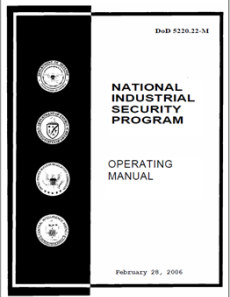
---

---

Learn @ Lunch CDSE

**TCP: NISPOM 10-509**

- NISPOM 10-509: International Visits and Control of Foreign Nationals



14

---

---

---

---

---

---

---

---

Learn @ Lunch CDSE

**Sample Technology Control Plan (TCP)**

- I. Scope
- II. Purpose
- III. Background
- IV. U.S. Person/Foreign Person
- V. Access Controls for Foreign Nationals
- VI. Export Controlled Information
- VII. Non-Disclosure Statement and Acknowledgement (Attachments included)
- VIII. Supervisory Responsibilities
- IX. Employee Responsibilities

15

---

---

---


---

---

---

---

---

Learn @ Lunch 

### Summary

- A TCP is a security countermeasure
- The NISPOM requires TCPs in sections 2-307 and 10-509
- Know what needs to be protected
- Develop procedures to protect the material
- Educate everyone in your facility: employees, foreign nationals, and supervisors

16

---

---

---


---

---

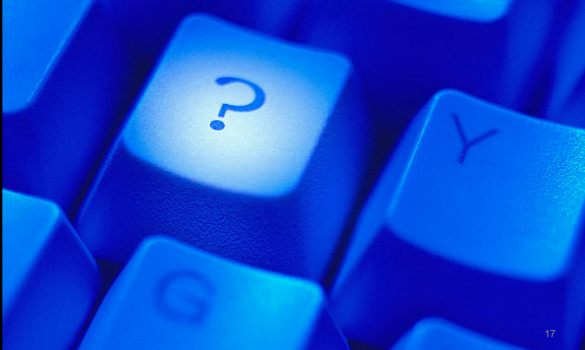
---

---

---

Learn @ Lunch 

### Questions



17

---

---

---


---

---

---

---

---

Learn @ Lunch 

### Survey

18

---

---

---

---

---

---

---

---

Learn @ Lunch 

**Contacts and Resources**

- Handouts and frequently asked questions from this webinar will be posted at <http://www.cdse.edu/catalog/webinars/industrial-security/technology-control-plan.html>
- Email industrial security training-related questions to DSS at [industrialsecurity.training@dss.mil](mailto:industrialsecurity.training@dss.mil)

19

---

---

---

---

---

---

---

---