

Center for Development of Security Excellence
CDSE
Learn. Perform. Protect.



Alternative Compensatory Control Measures



 **Information Security Webinar** 

Alternative Compensatory Control Measures



Host: Anthony Lane



- Security Specialist Instructor
- Course Manager
- Twenty-two years in U.S. Navy
- Experienced Security Manager

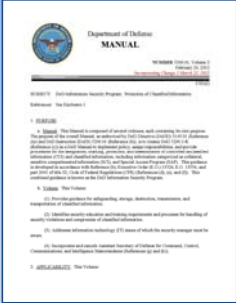


 **Administrative Announcements** 

- Use the Q & A box to ask questions.
- These slides can be downloaded. Select the file in the File Share box below.
- You will also find DoD Manual 5200.01, Volume 3, Enclosure 2, Section 18, which will be referenced during this webinar.
- This webinar will present poll questions.




Poll 1



DoDM 5200.01, Vol. 3, Encl. 2, Sec. 18






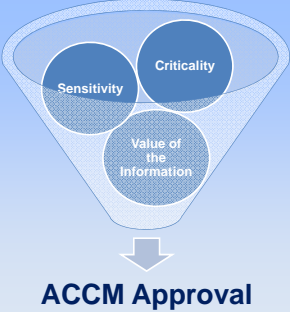
Head of a DoD Component with OCA may employ ACCM when:

- Standard security measures are insufficient
- SCI or SAP protections are not warranted




DoD Proponents


- **Office of Under Secretary of Defense for Policy (OUSD(P)):** DoD staff proponent for ACCM management, oversight, and Congressional reporting
- **Office of Under Secretary of Defense for Intelligence (OUSD(I)):** Proponent for ACCM security policy

 **ACCM Approval** 






ACCM Approval

 **Guidance on ACCM Use** 


To assist in enforcing need-to-know for classified:


- DoD intelligence matters
- Operations, sensitive support, and other non-intelligence activities



 **Guidance on ACCM Use** 


- Nickname consistent with CJCSM 3590.27D
- Access roster must differentiate active/inactive access
- Not used for acquisition programs or activities




 **Prohibited Security Measures** CDSE

Prohibited security measure:


- Using personnel security investigative or adjudicative standards that are more stringent than those normally required for a comparable level of classified information.



 **Prohibited Security Measures, cont.** CDSE

Prohibited Security Measures:

- Code words
- Any special terminology except nickname
- Specialized non-disclosure agreements
- Billet structure or system





 **Prohibited Uses of ACCM** CDSE



ACCM is prohibited:


- For NATO or non-intelligence Foreign Government Information (FGI)
- To protect classified information in acquisition programs


 **Prohibited Uses of ACCM, cont.** **CDSE**



ACCM is **prohibited** to protect:


- Technical or operational requirement of systems in the acquisition process
- RD, FRD, COMSEC, SCI, or SAP
- Unclassified information



 **Prohibited Uses of ACCM, cont.** **CDSE**



ACCM is **prohibited** to:

- Preclude or impede congressional, OSD, or other appropriate oversight of programs, command functions, or operations
- Justify funding of procuring or maintaining a separate ACCM communication system

 **Poll 2** **CDSE**

 **Poll 3** 

[Empty blue box for poll content]

 **Documentation** 

Correspondence includes:

- Designation of ACCM sponsor and control officer
- Effective activation date and duration
- Any planned participation by foreign partners



 **Documentation, cont.** 

ACCM sponsor will develop and distribute:

- Program security plan
- Security classification guide
- Program participant briefing

Special Programs Office, USD(P) maintains repository of records for all DoD ACCM.



 **Annual Reports** CDSE




General data elements:

- Nickname
- Purpose/description
- Duration
- Sponsor
- Control officer(s)

 **Sharing ACCM** CDSE



Recipient organization must abide by ACCM security requirements.

 **Poll 4** CDSE

 **Contractor Access** 





Participation must be identified in DD Form 254, "Contract Security Classification Specification."

 **Program Maintenance** 

Requirements:

- List of ACCM control officers
- Updated access control list
- Specialized training
 - Access procedures
 - Control
 - Transmission
 - Storage
 - Marking





 **Program Maintenance, cont.** 

Requirements:


- Updated documentation every 5 years
- With annual report, the ACCM sponsor provides:
 - List of primary and alternate ACCM control officers
 - Confirmation that documentation is current





 **Safeguarding** 

Requirements:


- Cover sheets overstamped or marked with "ACCM" and appropriate nickname
- Handled and stored in a manner that separates ACCM from non-ACCM classified information
- Use separate folders or drawers





 **Chat Question** 

What are some secure methods for transmitting ACCM?


Enter your responses in the chat box.



 **Transmission and Transportation** 

Transmission methods:

- Inner envelope to be marked with "ACCM," nickname, and addressed to authorized individual
- ACCM nickname will be on text of message traffic and fax coversheets
- Mark "SPECAT" for DMS transmission



Portion Markings CDSE

SECRET//ACCM-FICTITIOUS EFFORT/TEA LEAF
FOR TRAINING PURPOSES ONLY

(S//ACCM) This is the marking for a portion which is SECRET ACCM-protected information with the nicknames "FICTITIOUS EFFORT" and "TEA LEAF" (same as banner marking).

(S//ACCM-TEA LEAF) An ACCM-protected portion requiring only the nickname "TEA LEAF" would be marked as shown in this paragraph.


(S//ACCM-FICTITIOUS EFFORT) This is the marking for a portion which is SECRET ACCM-protected information requiring only the nickname "FICTITIOUS EFFORT."

Classified By: Tom Brown, Chief, Tea Leaf Program Ofc
Derived From: Memorandum XYZ, Dated 20071215
Declassify On: 20121215

SECRET//ACCM-FICTITIOUS EFFORT/TEA LEAF

From DoD Manual 5200.01, Volume 2, Enclosure 4

Security Incidents CDSE



- Deleting a file or material is normally a sufficient action.
- ACCM sponsor should be notified when inquiry and investigation are completed.
- Damage assessment responsibility is with ACCM sponsor.

Security Incidents CDSE

- Reporting, inquiry, investigation, and damage assessment conducted per DoDM 5200.01, Volume 3, Enclosure 6.
- Inadvertent disclosure forms are not authorized for use with ACCM information.

Department of Defense
MANUAL

SECURITY CLASSIFICATION: SECRET
EFFECTIVE DATE: 12/15/2011

5200.01-10 (S//ACCM) Security Program: Protection of Classified Information

1. PURPOSE

1.1 This manual provides the policy, procedures, and responsibilities for the protection of classified information in accordance with DoD Manual 5200.01, Volume 3, Enclosure 6. It is intended to provide guidance to all personnel who handle classified information in the Department of Defense (DoD) and its component organizations. It is not intended to provide guidance to personnel in other organizations who handle classified information.

2. REFERENCES

2.1 DoD Manual 5200.01, Volume 3, Enclosure 6 (DoDM 5200.01-10)

2.2 DoD Manual 5200.01, Volume 3, Enclosure 7 (DoDM 5200.01-11)

2.3 DoD Manual 5200.01, Volume 3, Enclosure 8 (DoDM 5200.01-12)

2.4 DoD Manual 5200.01, Volume 3, Enclosure 9 (DoDM 5200.01-13)

2.5 DoD Manual 5200.01, Volume 3, Enclosure 10 (DoDM 5200.01-14)

2.6 DoD Manual 5200.01, Volume 3, Enclosure 11 (DoDM 5200.01-15)

2.7 DoD Manual 5200.01, Volume 3, Enclosure 12 (DoDM 5200.01-16)

2.8 DoD Manual 5200.01, Volume 3, Enclosure 13 (DoDM 5200.01-17)

2.9 DoD Manual 5200.01, Volume 3, Enclosure 14 (DoDM 5200.01-18)

2.10 DoD Manual 5200.01, Volume 3, Enclosure 15 (DoDM 5200.01-19)

2.11 DoD Manual 5200.01, Volume 3, Enclosure 16 (DoDM 5200.01-20)

2.12 DoD Manual 5200.01, Volume 3, Enclosure 17 (DoDM 5200.01-21)

2.13 DoD Manual 5200.01, Volume 3, Enclosure 18 (DoDM 5200.01-22)

2.14 DoD Manual 5200.01, Volume 3, Enclosure 19 (DoDM 5200.01-23)

2.15 DoD Manual 5200.01, Volume 3, Enclosure 20 (DoDM 5200.01-24)

2.16 DoD Manual 5200.01, Volume 3, Enclosure 21 (DoDM 5200.01-25)

2.17 DoD Manual 5200.01, Volume 3, Enclosure 22 (DoDM 5200.01-26)

2.18 DoD Manual 5200.01, Volume 3, Enclosure 23 (DoDM 5200.01-27)

2.19 DoD Manual 5200.01, Volume 3, Enclosure 24 (DoDM 5200.01-28)

2.20 DoD Manual 5200.01, Volume 3, Enclosure 25 (DoDM 5200.01-29)

2.21 DoD Manual 5200.01, Volume 3, Enclosure 26 (DoDM 5200.01-30)

2.22 DoD Manual 5200.01, Volume 3, Enclosure 27 (DoDM 5200.01-31)

2.23 DoD Manual 5200.01, Volume 3, Enclosure 28 (DoDM 5200.01-32)

2.24 DoD Manual 5200.01, Volume 3, Enclosure 29 (DoDM 5200.01-33)

2.25 DoD Manual 5200.01, Volume 3, Enclosure 30 (DoDM 5200.01-34)

2.26 DoD Manual 5200.01, Volume 3, Enclosure 31 (DoDM 5200.01-35)

2.27 DoD Manual 5200.01, Volume 3, Enclosure 32 (DoDM 5200.01-36)

2.28 DoD Manual 5200.01, Volume 3, Enclosure 33 (DoDM 5200.01-37)

2.29 DoD Manual 5200.01, Volume 3, Enclosure 34 (DoDM 5200.01-38)

2.30 DoD Manual 5200.01, Volume 3, Enclosure 35 (DoDM 5200.01-39)

2.31 DoD Manual 5200.01, Volume 3, Enclosure 36 (DoDM 5200.01-40)

2.32 DoD Manual 5200.01, Volume 3, Enclosure 37 (DoDM 5200.01-41)

2.33 DoD Manual 5200.01, Volume 3, Enclosure 38 (DoDM 5200.01-42)

2.34 DoD Manual 5200.01, Volume 3, Enclosure 39 (DoDM 5200.01-43)

2.35 DoD Manual 5200.01, Volume 3, Enclosure 40 (DoDM 5200.01-44)

2.36 DoD Manual 5200.01, Volume 3, Enclosure 41 (DoDM 5200.01-45)

2.37 DoD Manual 5200.01, Volume 3, Enclosure 42 (DoDM 5200.01-46)

2.38 DoD Manual 5200.01, Volume 3, Enclosure 43 (DoDM 5200.01-47)

2.39 DoD Manual 5200.01, Volume 3, Enclosure 44 (DoDM 5200.01-48)

2.40 DoD Manual 5200.01, Volume 3, Enclosure 45 (DoDM 5200.01-49)

2.41 DoD Manual 5200.01, Volume 3, Enclosure 46 (DoDM 5200.01-50)

2.42 DoD Manual 5200.01, Volume 3, Enclosure 47 (DoDM 5200.01-51)

2.43 DoD Manual 5200.01, Volume 3, Enclosure 48 (DoDM 5200.01-52)

2.44 DoD Manual 5200.01, Volume 3, Enclosure 49 (DoDM 5200.01-53)

2.45 DoD Manual 5200.01, Volume 3, Enclosure 50 (DoDM 5200.01-54)

2.46 DoD Manual 5200.01, Volume 3, Enclosure 51 (DoDM 5200.01-55)

2.47 DoD Manual 5200.01, Volume 3, Enclosure 52 (DoDM 5200.01-56)

2.48 DoD Manual 5200.01, Volume 3, Enclosure 53 (DoDM 5200.01-57)

2.49 DoD Manual 5200.01, Volume 3, Enclosure 54 (DoDM 5200.01-58)

2.50 DoD Manual 5200.01, Volume 3, Enclosure 55 (DoDM 5200.01-59)

2.51 DoD Manual 5200.01, Volume 3, Enclosure 56 (DoDM 5200.01-60)

2.52 DoD Manual 5200.01, Volume 3, Enclosure 57 (DoDM 5200.01-61)

2.53 DoD Manual 5200.01, Volume 3, Enclosure 58 (DoDM 5200.01-62)

2.54 DoD Manual 5200.01, Volume 3, Enclosure 59 (DoDM 5200.01-63)

2.55 DoD Manual 5200.01, Volume 3, Enclosure 60 (DoDM 5200.01-64)

2.56 DoD Manual 5200.01, Volume 3, Enclosure 61 (DoDM 5200.01-65)

2.57 DoD Manual 5200.01, Volume 3, Enclosure 62 (DoDM 5200.01-66)

2.58 DoD Manual 5200.01, Volume 3, Enclosure 63 (DoDM 5200.01-67)

2.59 DoD Manual 5200.01, Volume 3, Enclosure 64 (DoDM 5200.01-68)

2.60 DoD Manual 5200.01, Volume 3, Enclosure 65 (DoDM 5200.01-69)

2.61 DoD Manual 5200.01, Volume 3, Enclosure 66 (DoDM 5200.01-70)

2.62 DoD Manual 5200.01, Volume 3, Enclosure 67 (DoDM 5200.01-71)

2.63 DoD Manual 5200.01, Volume 3, Enclosure 68 (DoDM 5200.01-72)

2.64 DoD Manual 5200.01, Volume 3, Enclosure 69 (DoDM 5200.01-73)

2.65 DoD Manual 5200.01, Volume 3, Enclosure 70 (DoDM 5200.01-74)

2.66 DoD Manual 5200.01, Volume 3, Enclosure 71 (DoDM 5200.01-75)

2.67 DoD Manual 5200.01, Volume 3, Enclosure 72 (DoDM 5200.01-76)

2.68 DoD Manual 5200.01, Volume 3, Enclosure 73 (DoDM 5200.01-77)

2.69 DoD Manual 5200.01, Volume 3, Enclosure 74 (DoDM 5200.01-78)

2.70 DoD Manual 5200.01, Volume 3, Enclosure 75 (DoDM 5200.01-79)

2.71 DoD Manual 5200.01, Volume 3, Enclosure 76 (DoDM 5200.01-80)

2.72 DoD Manual 5200.01, Volume 3, Enclosure 77 (DoDM 5200.01-81)

2.73 DoD Manual 5200.01, Volume 3, Enclosure 78 (DoDM 5200.01-82)

2.74 DoD Manual 5200.01, Volume 3, Enclosure 79 (DoDM 5200.01-83)

2.75 DoD Manual 5200.01, Volume 3, Enclosure 80 (DoDM 5200.01-84)

2.76 DoD Manual 5200.01, Volume 3, Enclosure 81 (DoDM 5200.01-85)

2.77 DoD Manual 5200.01, Volume 3, Enclosure 82 (DoDM 5200.01-86)

2.78 DoD Manual 5200.01, Volume 3, Enclosure 83 (DoDM 5200.01-87)

2.79 DoD Manual 5200.01, Volume 3, Enclosure 84 (DoDM 5200.01-88)

2.80 DoD Manual 5200.01, Volume 3, Enclosure 85 (DoDM 5200.01-89)

2.81 DoD Manual 5200.01, Volume 3, Enclosure 86 (DoDM 5200.01-90)

2.82 DoD Manual 5200.01, Volume 3, Enclosure 87 (DoDM 5200.01-91)

2.83 DoD Manual 5200.01, Volume 3, Enclosure 88 (DoDM 5200.01-92)

2.84 DoD Manual 5200.01, Volume 3, Enclosure 89 (DoDM 5200.01-93)

2.85 DoD Manual 5200.01, Volume 3, Enclosure 90 (DoDM 5200.01-94)

2.86 DoD Manual 5200.01, Volume 3, Enclosure 91 (DoDM 5200.01-95)

2.87 DoD Manual 5200.01, Volume 3, Enclosure 92 (DoDM 5200.01-96)

2.88 DoD Manual 5200.01, Volume 3, Enclosure 93 (DoDM 5200.01-97)

2.89 DoD Manual 5200.01, Volume 3, Enclosure 94 (DoDM 5200.01-98)

2.90 DoD Manual 5200.01, Volume 3, Enclosure 95 (DoDM 5200.01-99)



2.91 DoD Manual 5200.01, Volume 3, Enclosure 96 (DoDM 5200.01-100)


2.92 DoD Manual 5200.01, Volume 3, Enclosure 97 (DoDM 5200.01-101)

2.93 DoD Manual 5200.01, Volume 3, Enclosure 98 (DoDM 5200.01-102)



2.94 DoD Manual 5200.01, Volume 3, Enclosure 99 (DoDM 5200.01-103)

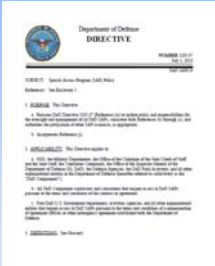
2.95 DoD Manual 5200.01, Volume 3, Enclosure 100 (DoDM 5200.01-104)

 **ACCM Termination** 





- Terminated by establishing DoD Component
- Notification must be submitted in writing

 **Transitioning to a SAP** 



If the DoD Component determines the ACCM requires protection as a SAP, the request must be made in accordance with SAP policy.

 **Contacts and Resources** 

- Slides and frequently asked questions from this webinar will be posted at <http://www.cdse.edu/catalog/webinars/information-security/alternate-compensatory.html>
- Email information security training related questions to DSS at informationsecurity.training@dss.mil
